

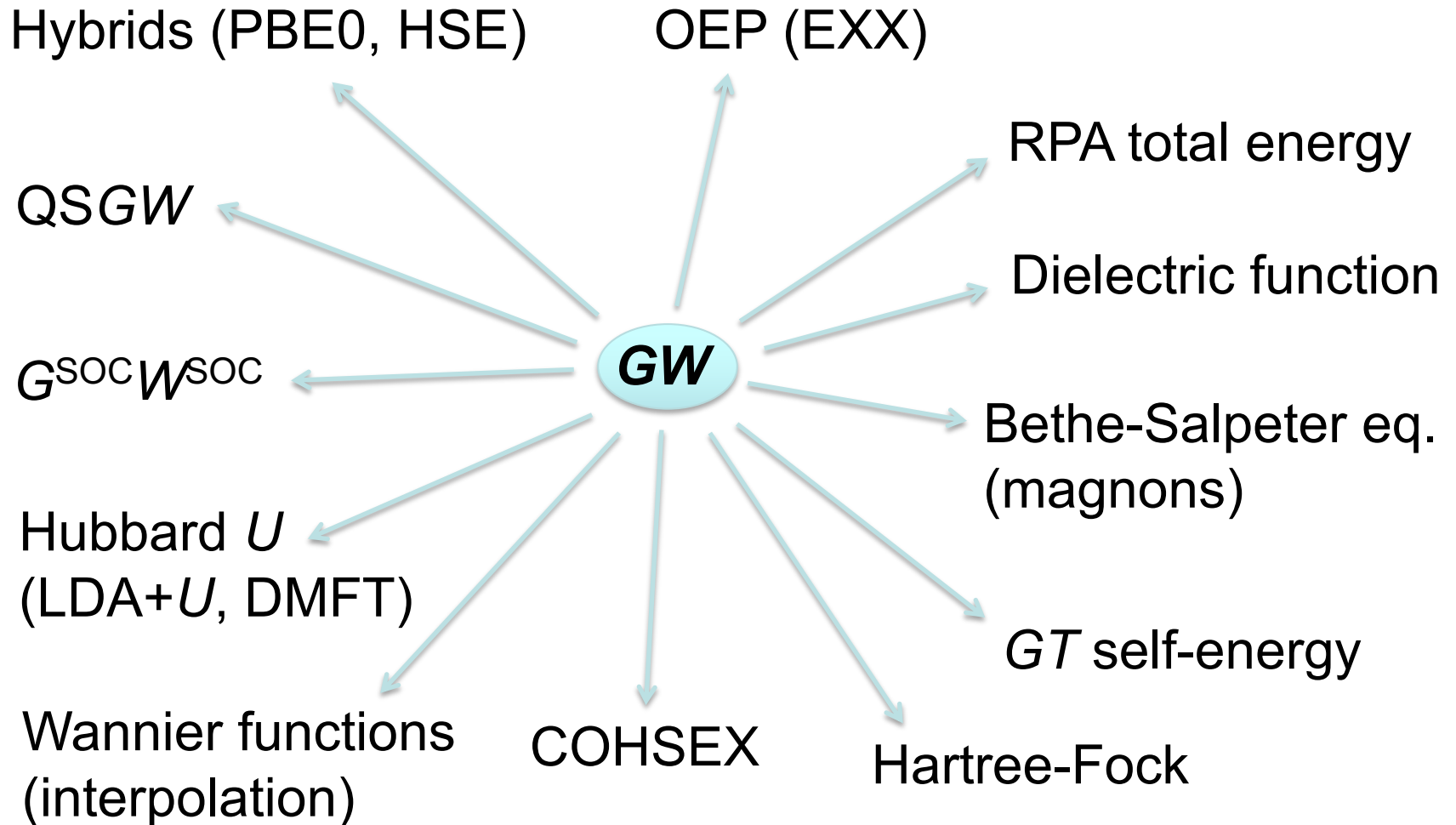
Many-Body Perturbation Theory

The *GW* approximation

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SPEX



Overview

• Introduction

• Theory

- Green function
- Feynman diagrams
- *GW* approximation

• Implementation

- Basis sets (FLAPW method)
- Exchange: Hartree-Fock
- Correlation: Imaginary-frequency formulation
- Analytic continuation vs. contour integration

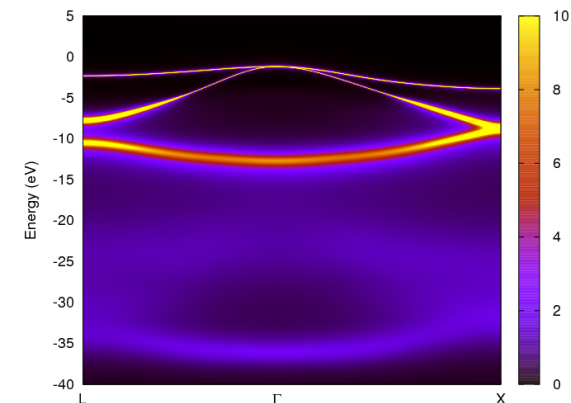
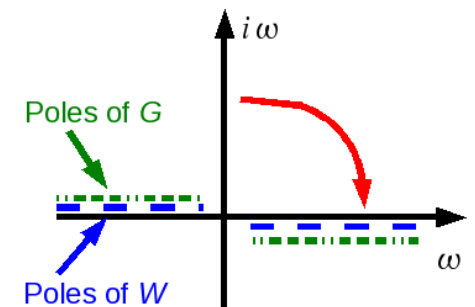
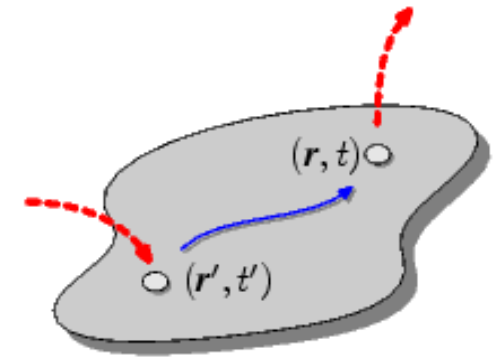
• Applications

- Silicon
- Zinc Oxide
- Metallic Na
- HgTe

• Computational procedure

- One-Shot *GW*
- Full band structure
- Self-consistent QSGW

• Summary



Density functional theory

Kohn-Sham (KS) equations:

$$\left[-\frac{1}{2} \nabla_{\mathbf{r}}^2 + v_{\text{ext}}(\mathbf{r}) + v_{\text{H}}(\mathbf{r}) \right] \phi_{n\mathbf{k}}(\mathbf{r}) + v_{\text{xc}}[\rho](\mathbf{r}) \phi_{n\mathbf{k}}(\mathbf{r}) = \epsilon_{n\mathbf{k}} \phi_{n\mathbf{k}}(\mathbf{r})$$

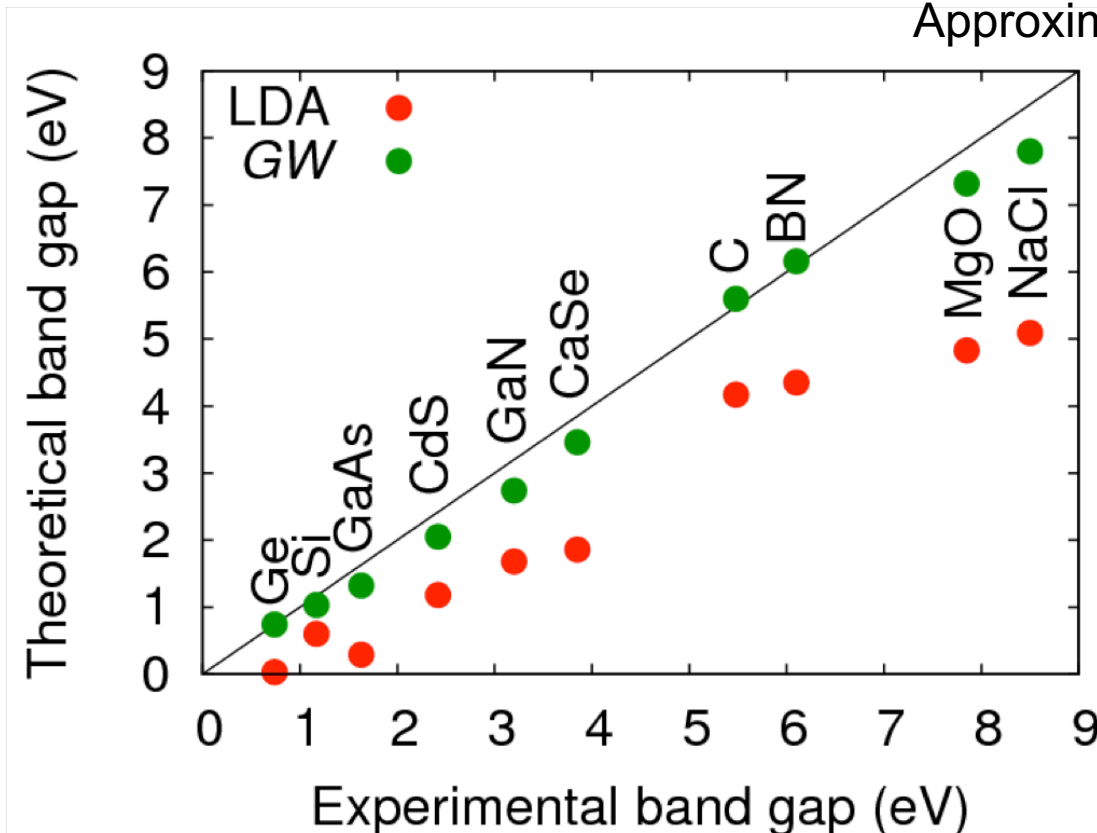
Exchange and correlation potential
Approximations: **LDA, GGA**

→ real $\rho(\mathbf{r})$ → $E_0[\rho]$

Kohn-Sham (KS) equations:

$$\left[-\frac{1}{2} \nabla_{\mathbf{r}}^2 + v_{\text{ext}}(\mathbf{r}) + v_{\text{H}}(\mathbf{r}) \right] \phi_{n\mathbf{k}}(\mathbf{r}) + v_{\text{xc}}[\rho](\mathbf{r}) \phi_{n\mathbf{k}}(\mathbf{r}) = \epsilon_{n\mathbf{k}} \phi_{n\mathbf{k}}(\mathbf{r})$$

Exchange and correlation potential
Approximations: **LDA, GGA**



Kohn-Sham (KS) equations:

$$\left[-\frac{1}{2} \nabla_{\mathbf{r}}^2 + v_{\text{ext}}(\mathbf{r}) + v_{\text{H}}(\mathbf{r}) \right] \phi_{n\mathbf{k}}(\mathbf{r}) + v_{\text{xc}}[\rho](\mathbf{r}) \phi_{n\mathbf{k}}(\mathbf{r}) = \epsilon_{n\mathbf{k}} \phi_{n\mathbf{k}}(\mathbf{r})$$

Exchange and correlation potential
Approximations: **LDA, GGA**

Many-body Schrödinger equation:

$$\left[-\frac{1}{2} \sum_i \nabla_{\mathbf{r}_i}^2 + \sum_i v_{\text{ext}}(\mathbf{r}_i) + \frac{1}{2} \sum_{i,j} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} \right] \Psi_n(\mathbf{r}_1, \mathbf{r}_2, \dots) = E_n \Psi_n(\mathbf{r}_1, \mathbf{r}_2, \dots)$$

Theory

Green function

Central quantity is the single-particle **Green function** (probability amplitude for the propagation of a particle)

$$G(\mathbf{r}, \mathbf{r}'; t - t') = -i \langle \Psi_0^N | \hat{T} [\hat{\psi}(\mathbf{r}, t) \hat{\psi}^\dagger(\mathbf{r}', t')] | \Psi_0^N \rangle$$

which contains poles at the excitation energies of the many-electron system (photoelectron spectroscopy),

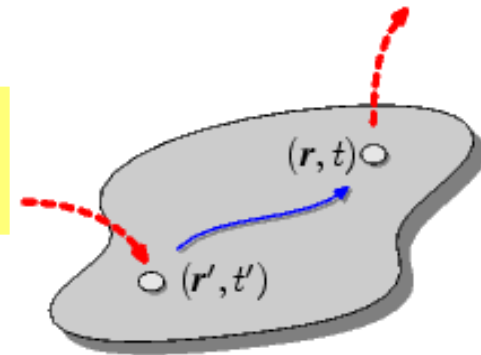
seen by Fourier transformation $t - t' \rightarrow \omega$

$$G(\mathbf{r}, \mathbf{r}'; \omega) = \sum_n \frac{\psi_n^{N+1}(\mathbf{r}) \psi_n^{N+1*}(\mathbf{r}')}{\omega - (E_n^{N+1} - E_0^N) + i\eta} + \sum_n \frac{\psi_n^{N-1}(\mathbf{r}) \psi_n^{N-1*}(\mathbf{r}')}{\omega - (E_0^N - E_n^{N-1}) - i\eta}$$

inverse

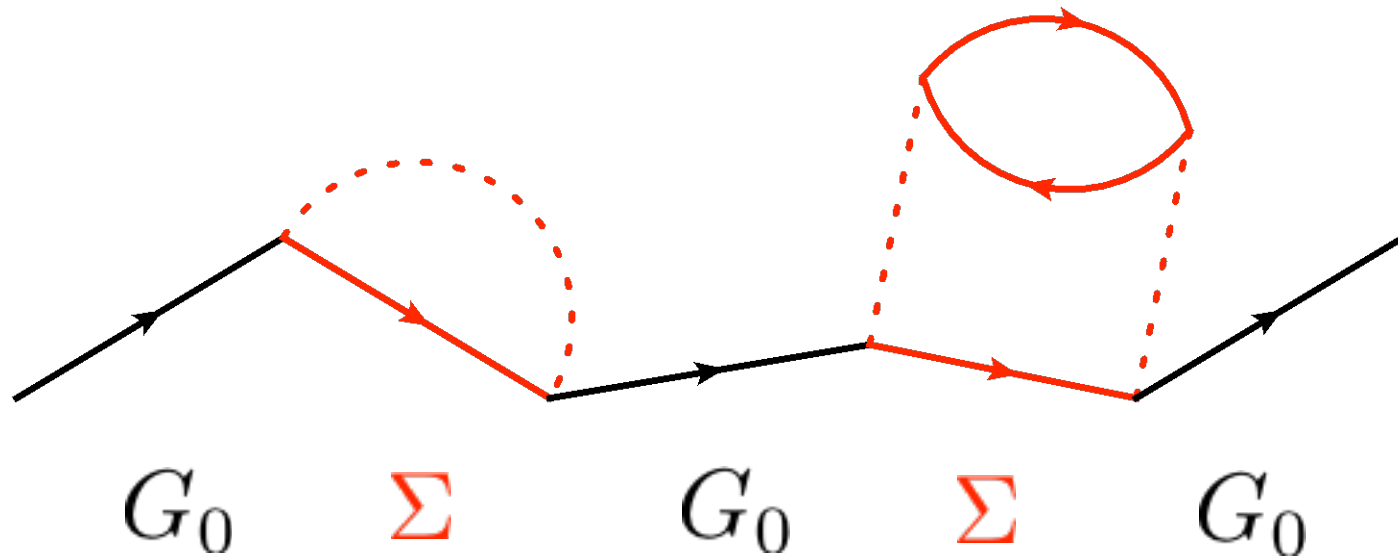
direct

Excitation energy measured in photoemission spectroscopy



Theory

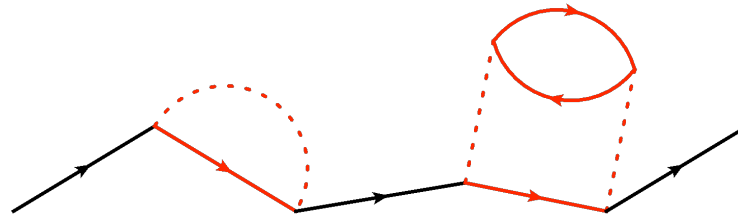
Feynman diagrams



Self-energy: sum over all scattering diagrams

Theory

Dyson equation

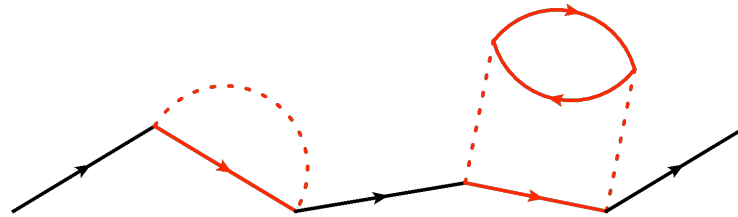


$$G = G_0 + G_0 \Sigma G_0 + G_0 \Sigma G_0 \Sigma G_0 + G_0 \Sigma G_0 \Sigma G_0 \Sigma G_0 + \dots$$

Σ is the **electronic self-energy** (scattering potential).

Theory

Dyson equation



$$G = G_0 + G_0 \Sigma G$$

Σ is the **electronic self-energy** (scattering potential).

The Dyson equation can be rewritten as the **quasiparticle equation**

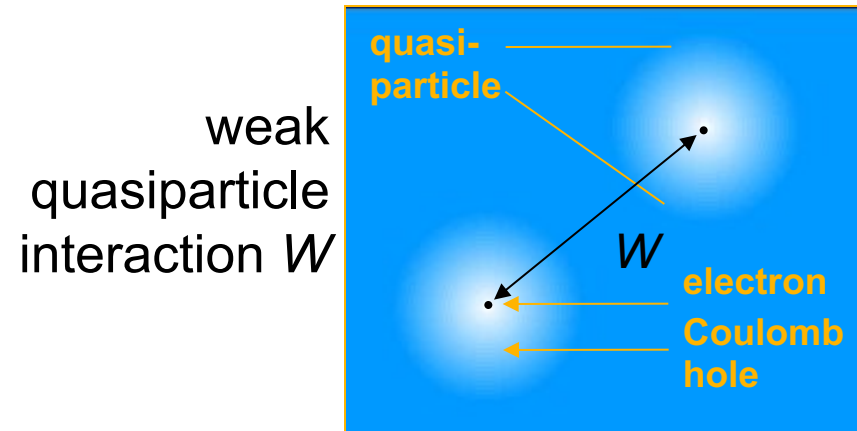
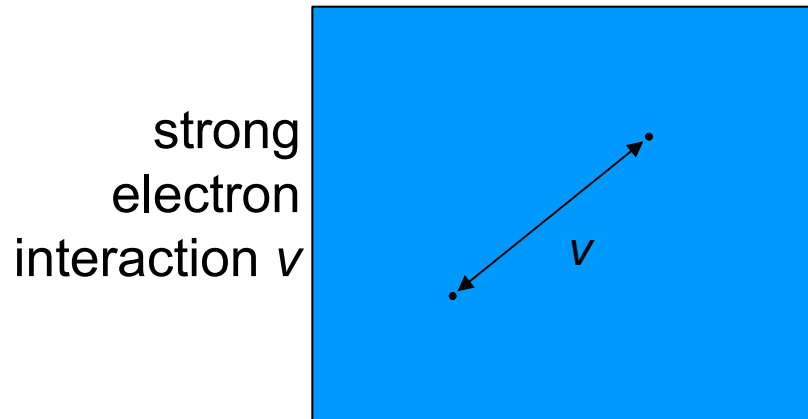
$$-\frac{1}{2}\nabla^2 + V_{\text{ext}}(\mathbf{r}) + \int \frac{n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} d^3 r'$$

- complex energy contains
- excitation energies (real part)
 - excitation lifetimes (imaginary part)

$$\hat{h}_0(\mathbf{r})\psi_n(\mathbf{r}) + \int \Sigma(\mathbf{r}, \mathbf{r}'; E_n)\psi_n(\mathbf{r}')d^3 r' = E_n\psi_n(\mathbf{r})$$

Theory

Self-energy



Expansion up to linear order

in Coulomb interaction $v \longrightarrow \Sigma^{\text{HF}} = iG_0v$ (Hartree-Fock)

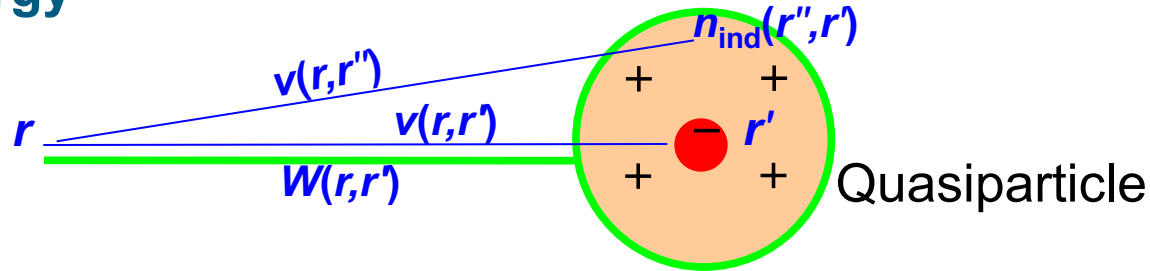
in screened interaction $W \longrightarrow \Sigma^{\text{GW}} = iG_0W$ (GW approximation)

The GW approximation contains electron exchange and a large part of electron correlation.

$$\Sigma^{\text{GW}}(\mathbf{r}, \mathbf{r}'; \tau) = iG_0(\mathbf{r}, \mathbf{r}'; \tau)W(\mathbf{r}, \mathbf{r}'; \tau + \eta)$$

Theory

Self-energy

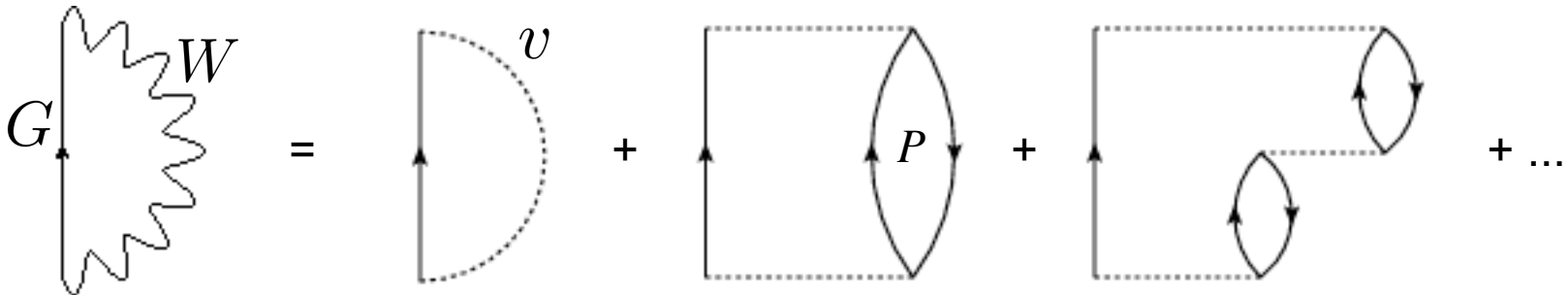


$$W(\mathbf{r}, \mathbf{r}') = v(\mathbf{r}, \mathbf{r}') + \int v(\mathbf{r}, \mathbf{r}'') n_{\text{ind}}(\mathbf{r}'', \mathbf{r}') d^3 r''$$

$= Pv + PvPv + PvPvPv + \dots$

$$W = v + vPv + vPvPv + vPvPvPv + \dots = v + vPW$$

$$\Sigma = iGW = iGv + iGvPv + iGvPvPv + \dots$$



Theory

Hedin equations

Lars Hedin, 1965

$$1 = (\mathbf{r}_1, \sigma_1, t_1), 2 = \dots$$

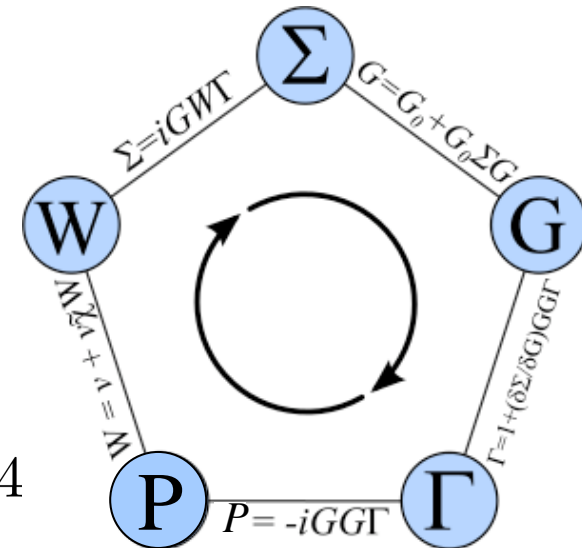
$$\Gamma(12; 3) = \delta(12)\delta(13) - \iiint \frac{\delta\Sigma(12)}{\delta G(45)} G(56)\Gamma(67; 3)G(74) d4 d5 d6 d7$$

$$P(12) = -i \iint G(13)\Gamma(34; 2)G(41) d3 d4$$

$$W(12) = v(12) + \iint v(13)P(34)W(42) d3 d4$$

$$\Sigma(12) = i \iint G(13)W(1^+4)\Gamma(32; 4) d3 d4$$

$$G(12) = G_0(12) + \iint G_0(13)\Sigma(34)G(42) d3 d4$$



GW approximation corresponds to the 1st iteration starting from $\Sigma=0$:

$$\Gamma(12; 3) = \delta(12)\delta(13)$$

$$P(12) = -iG(12)G(21) \quad (\text{random-phase approximation})$$

$$\Sigma(12) = iG(12)W(1^+2)$$

Implementation

Dyson equation → quasiparticle equations:

$$\begin{aligned}
 \text{GW:} \quad & \hat{h}_0(\mathbf{r})\psi_{\mathbf{kn}}^\sigma(\mathbf{r}) + \int \Sigma_\sigma^{GW}(\mathbf{r}, \mathbf{r}'; E_{\mathbf{kn}}^\sigma)\psi_{\mathbf{kn}}^\sigma(\mathbf{r}')d^3r' = E_{\mathbf{kn}}^\sigma\psi_{\mathbf{kn}}^\sigma(\mathbf{r}) \\
 \text{DFT:} \quad & \hat{h}_0(\mathbf{r})\varphi_{\mathbf{kn}}^\sigma(\mathbf{r}) + v_\sigma^{\text{xc}}(\mathbf{r})\varphi_{\mathbf{kn}}^\sigma(\mathbf{r}) = \epsilon_{\mathbf{kn}}^\sigma\varphi_{\mathbf{kn}}^\sigma(\mathbf{r})
 \end{aligned}$$

true excitation energies

energies of a fictitious system

Similarity motivates the use of perturbation theory

$$E_{\mathbf{kn}}^\sigma = \epsilon_{\mathbf{kn}}^\sigma + \langle \varphi_{\mathbf{kn}}^\sigma | \Sigma_\sigma^{GW}(E_{\mathbf{kn}}^\sigma) - v_\sigma^{\text{xc}} | \varphi_{\mathbf{kn}}^\sigma \rangle$$

direct solution

$$\Sigma(E) = \Sigma(\epsilon) + \Sigma'(\epsilon)(E - \epsilon)$$

$$E_{\mathbf{kn}}^\sigma = \epsilon_{\mathbf{kn}}^\sigma + Z_{\mathbf{kn}}^\sigma \langle \varphi_{\mathbf{kn}}^\sigma | \Sigma_\sigma^{GW}(\epsilon_{\mathbf{kn}}^\sigma) - v_\sigma^{\text{xc}} | \varphi_{\mathbf{kn}}^\sigma \rangle$$

linearized solution

renormalization factor

$$Z_{\mathbf{kn}}^\sigma = \left(1 - \langle \varphi_{\mathbf{kn}}^\sigma | \Sigma_\sigma^{\prime GW}(\epsilon_{\mathbf{kn}}^\sigma) | \varphi_{\mathbf{kn}}^\sigma \rangle \right)^{-1}$$

Implementation

Basis sets

Dyson equation → quasiparticle equations:

$$\begin{aligned}
 \text{GW: } & \hat{h}_0(\mathbf{r})\psi_{\mathbf{k}n}^\sigma(\mathbf{r}) + \int \Sigma_\sigma^{GW}(\mathbf{r}, \mathbf{r}'; E_{\mathbf{k}n}^\sigma)\psi_{\mathbf{k}n}^\sigma(\mathbf{r}')d^3r' = E_{\mathbf{k}n}^\sigma\psi_{\mathbf{k}n}^\sigma(\mathbf{r}) \\
 \text{DFT: } & \hat{h}_0(\mathbf{r})\varphi_{\mathbf{k}n}^\sigma(\mathbf{r}) + v_\sigma^{\text{xc}}(\mathbf{r})\varphi_{\mathbf{k}n}^\sigma(\mathbf{r}) = \epsilon_{\mathbf{k}n}^\sigma\varphi_{\mathbf{k}n}^\sigma(\mathbf{r})
 \end{aligned}$$

true excitation energies

energies of a fictitious system

Basis set for wavefunctions

$$\varphi_{\mathbf{k}n}(\mathbf{r}) = \sum_{\mu} c_{\mathbf{k}n}^{\mu} \zeta_{\mathbf{k}\mu}(\mathbf{r})$$

- Gaussians
- Plane waves (Pseudopotential)
- PAW
- LMTO
- LAPW
- ...

Basis set for wavefunction products

$$\varphi_{\mathbf{k}n}^*(\mathbf{r})\varphi_{\mathbf{k}'n'}(\mathbf{r}) = \sum_{\nu} C_{\mathbf{k}\mathbf{k}'nn'}^{\nu} \xi_{\mathbf{k}\mathbf{k}'\nu}(\mathbf{r})$$

- Auxiliary Gaussian set (density fitting)
- Plane waves
- Plane waves
- Product basis
- Mixed product basis
- ...

Implementation

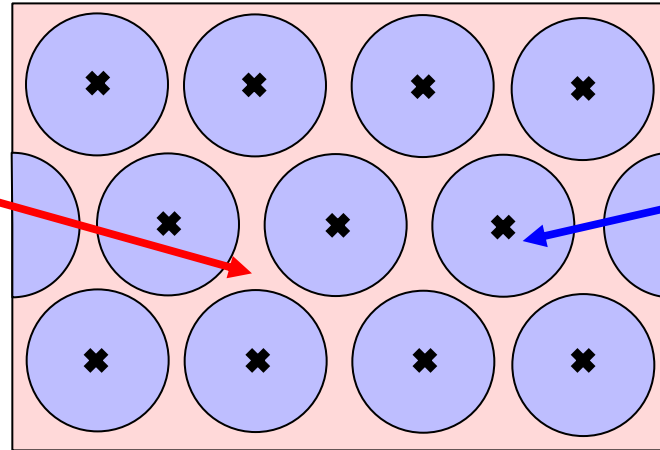
FLAPW method

Interstitial region:

interstitial plane waves

$$e^{i(\mathbf{k}+\mathbf{G})\mathbf{r}}$$

cutoff $|\mathbf{k} + \mathbf{G}| \leq G_{\max}$



Muffin-tin (MT) spheres:

numerical MT functions

$$u_{lp}(r)Y_{lm}(\hat{\mathbf{r}})$$

cutoff $l \leq l_{\max}$

In our GW implementation we use the **mixed product basis**, generated from the products of (1) **interstitial plane waves** (cutoff G'_{\max})

$$e^{i(\mathbf{k}+\mathbf{G})\mathbf{r}} e^{i(\mathbf{k}'+\mathbf{G}')\mathbf{r}} = e^{i(\mathbf{k}+\mathbf{k}'+\mathbf{G}+\mathbf{G}')\mathbf{r}}$$

and (2) **MT functions** (cutoff l'_{\max})

$$\rightarrow G'_{\max} = 2G_{\max}$$

$$u_{lp}(r)u_{l'p'}(r) \underbrace{Y_{lm}(\hat{\mathbf{r}})Y_{l'm'}(\hat{\mathbf{r}})}_{\text{up to } l+l'}$$

$$\rightarrow l'_{\max} = 2l_{\max}$$

Implementation

Mixed product basis

$$G_{\max} = 3.6 \text{ bohr}^{-1}$$

exact $G'_{\max} = 2G_{\max}$

converged $G'_{\max} \sim 0.75 G_{\max}$

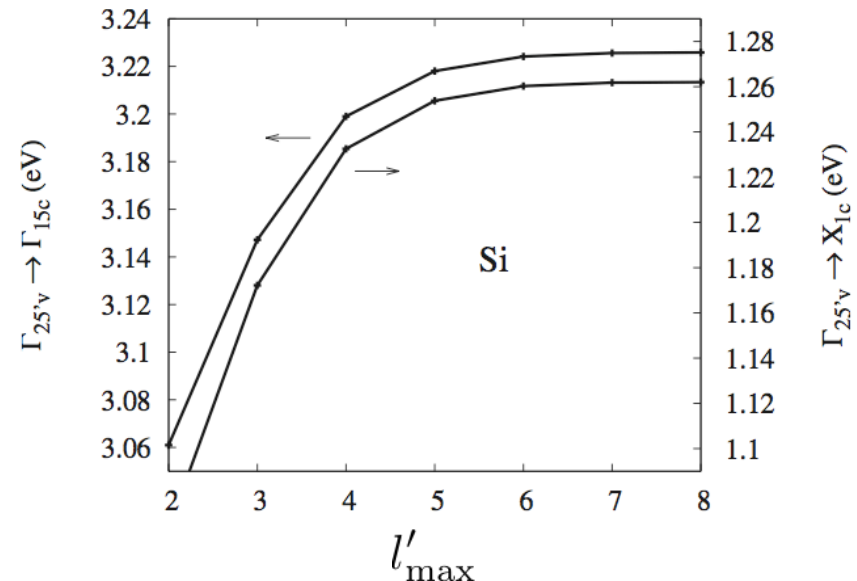
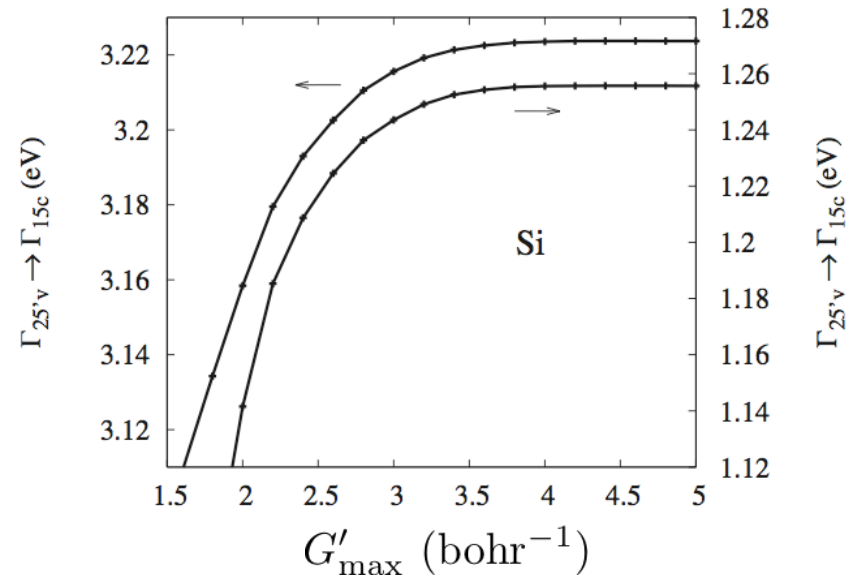
spex.inp: keyword "GCUT".

$$l_{\max} = 8$$

exact $l'_{\max} = 2l_{\max}$

converged $l'_{\max} \sim 0.63 l_{\max}$

spex.inp: keyword "LCUT".



Implementation

Exchange Self-energy

The self-energy can be decomposed into an **exchange** and a **correlation** term:

$$\Sigma^{GW}(\omega) = iG_0W = iG_0v + iG_0(W - v) = \Sigma^x - \Sigma^c(\omega)$$

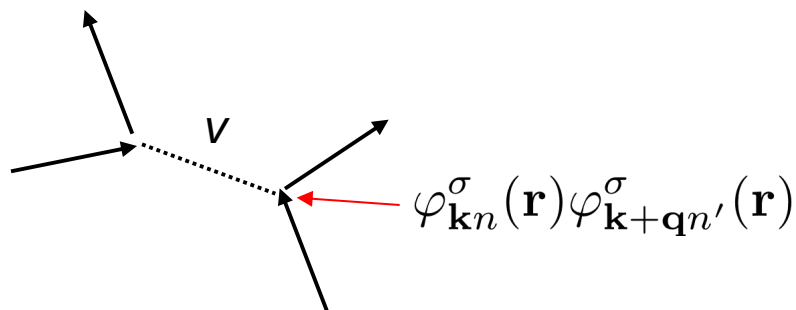
The exchange contribution is given analytically by the Hartree-Fock expression

$$\Sigma^x(\mathbf{r}, \mathbf{r}'; -\eta) = iG(\mathbf{r}t, \mathbf{r}'t + \eta)v(\mathbf{r}, \mathbf{r}') = -\langle \Psi_0^N | \hat{\psi}^\dagger(\mathbf{r}'t + \eta)\hat{\psi}(\mathbf{r}t) | \Psi_0^N \rangle v(\mathbf{r}, \mathbf{r}')$$

Density matrix

$$n(\mathbf{r}, \mathbf{r}') = \sum_n^{\text{occ.}} \varphi_n^*(\mathbf{r})\varphi_n(\mathbf{r}')$$

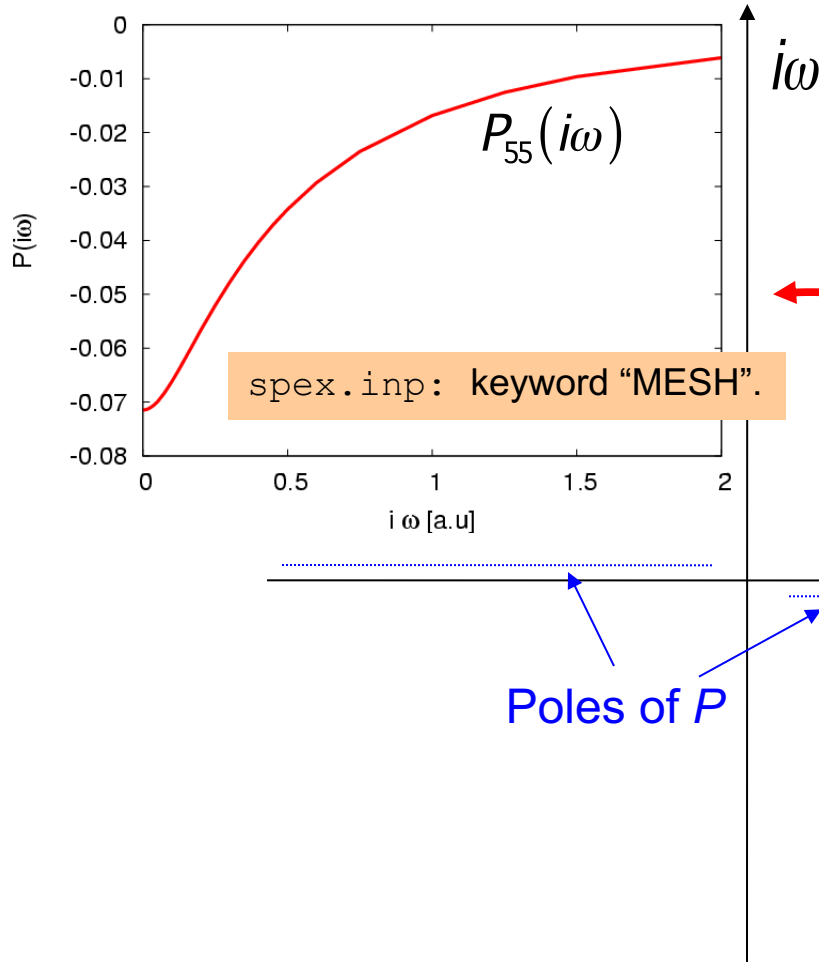
$$\langle \varphi_m | \Sigma^x | \varphi_{m'} \rangle = - \sum_n^{\text{occ.}} \iint \varphi_m^*(\mathbf{r})\varphi_n(\mathbf{r})v(\mathbf{r}, \mathbf{r}')\varphi_n^*(\mathbf{r}')\varphi_{m'}(\mathbf{r}') d^3r d^3r'$$



$$1 = \sum_I |M_I\rangle\langle M_I|$$

Implementation

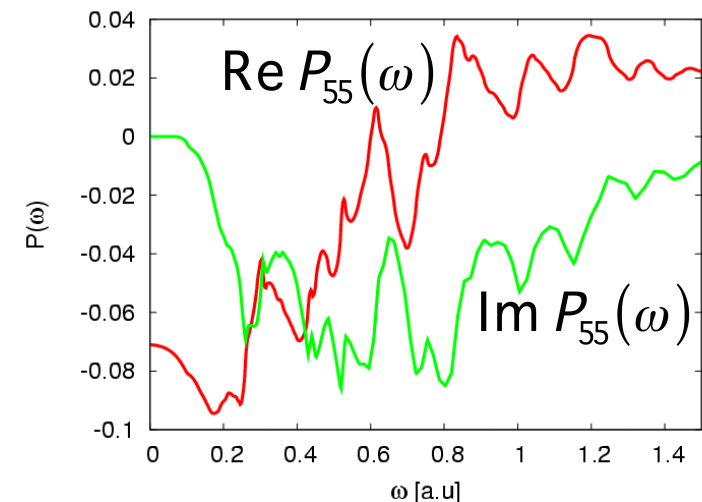
Imaginary-frequency formulation



The quantities (e.g. the polarization function P) are much smoother along the imaginary frequency axis than along the real axis.

spex.inp: keyword "HILBERT".

Poles of P



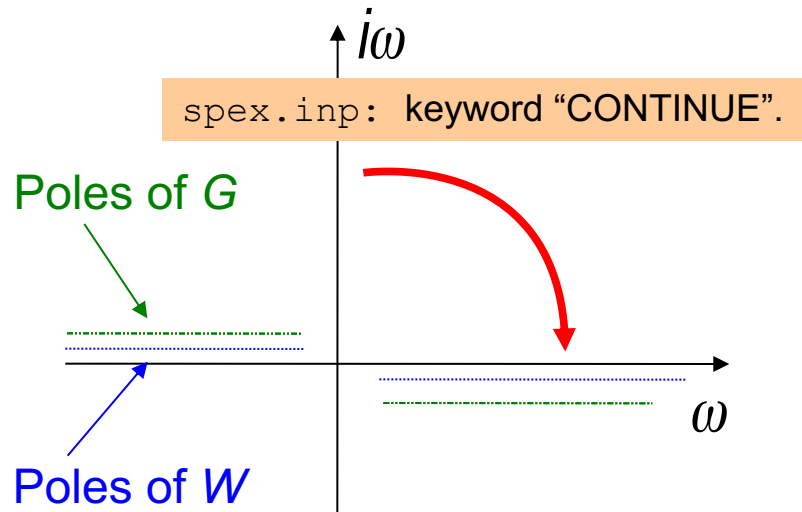
Implementation

Correlation Self-energy

$$\Sigma^c(\omega) = \frac{i}{2\pi} \int_{-\infty}^{\infty} G_0(\omega + \omega') W^c(\omega') d\omega'$$

Analytic Continuation

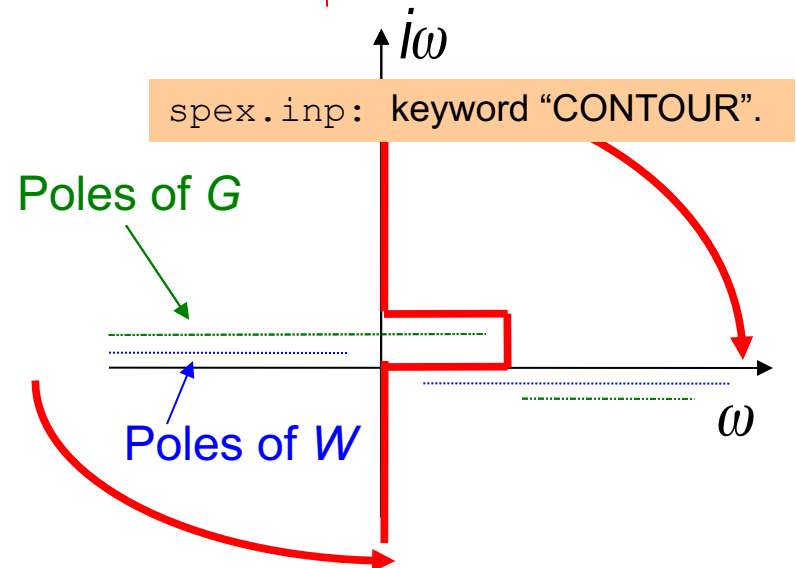
$$\Sigma^c(i\omega) = -\frac{1}{2\pi} \int_{-\infty}^{\infty} G_0(i\omega + i\omega') W^c(i\omega') d\omega'$$



- Easy to implement
- Fast computation
- Analytic continuation critical

Contour integration

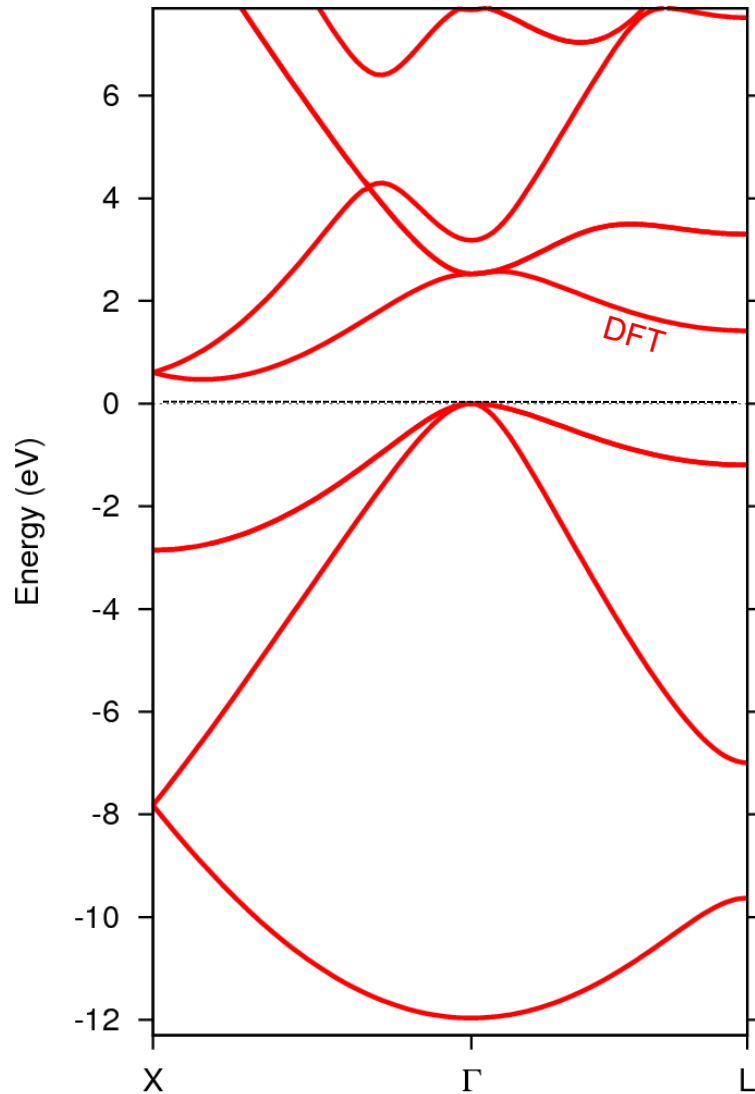
$$\Sigma^c(\omega) = \frac{i}{2\pi} \int_{-\infty}^{\infty} G_0(\omega + \omega') W^c(\omega') d\omega'$$



- Accurate evaluation of Σ^c
- More parameters necessary
- Takes more time

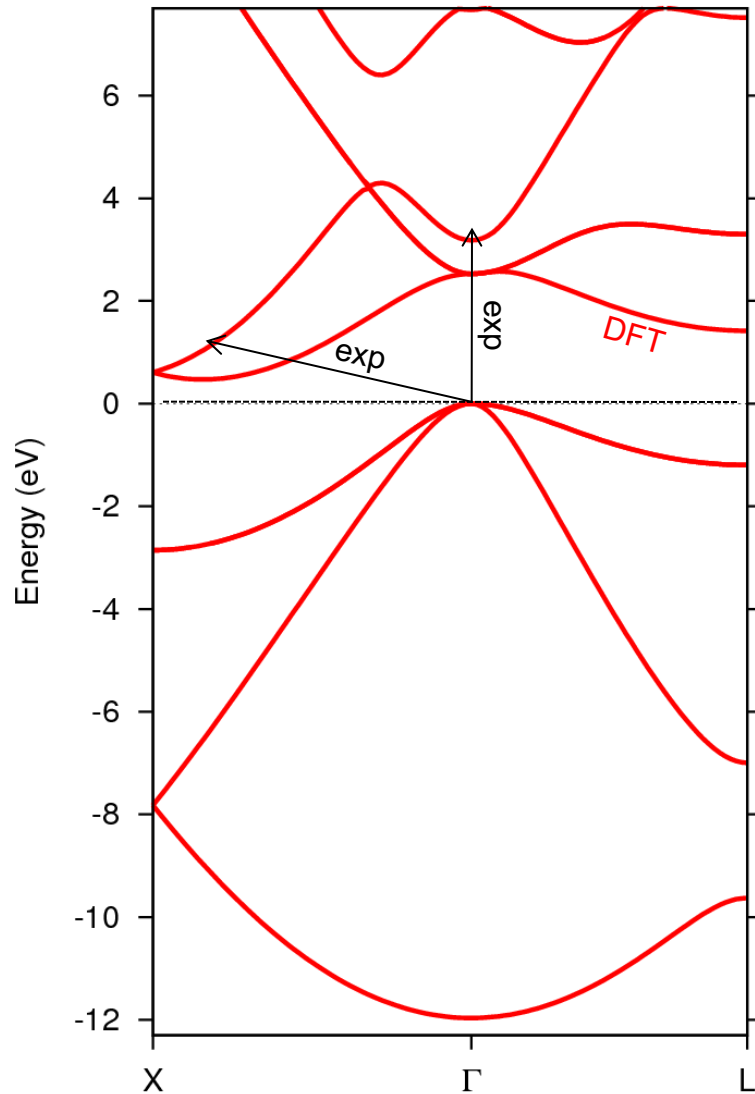
Applications

Bulk Silicon



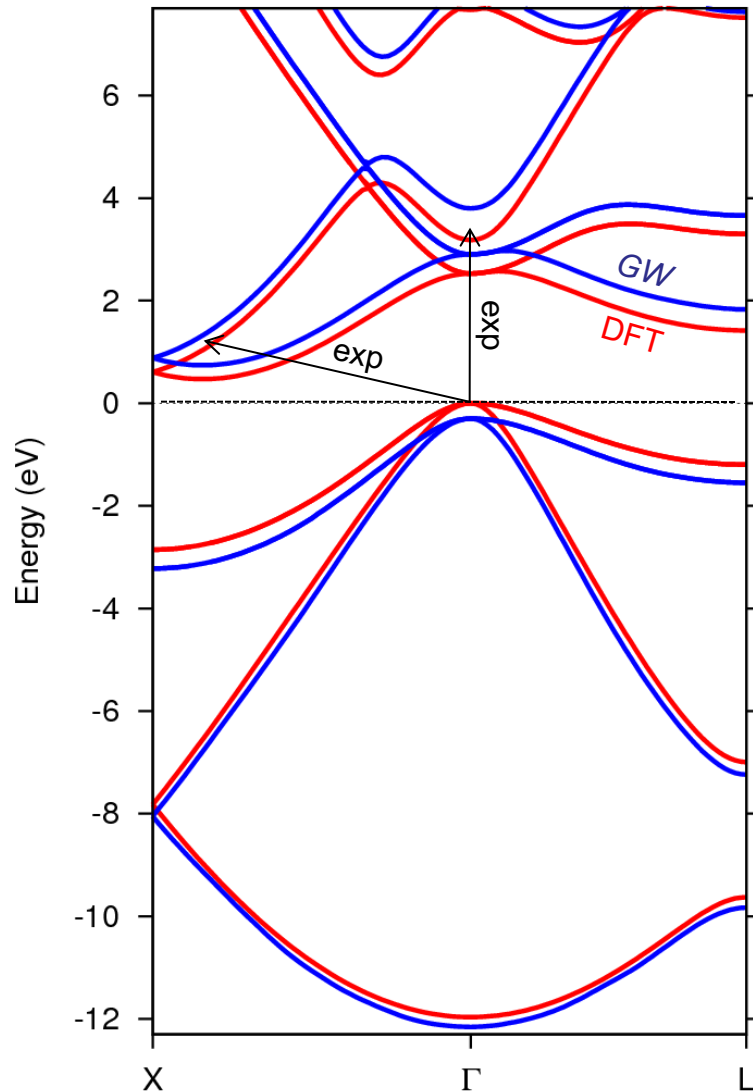
Applications

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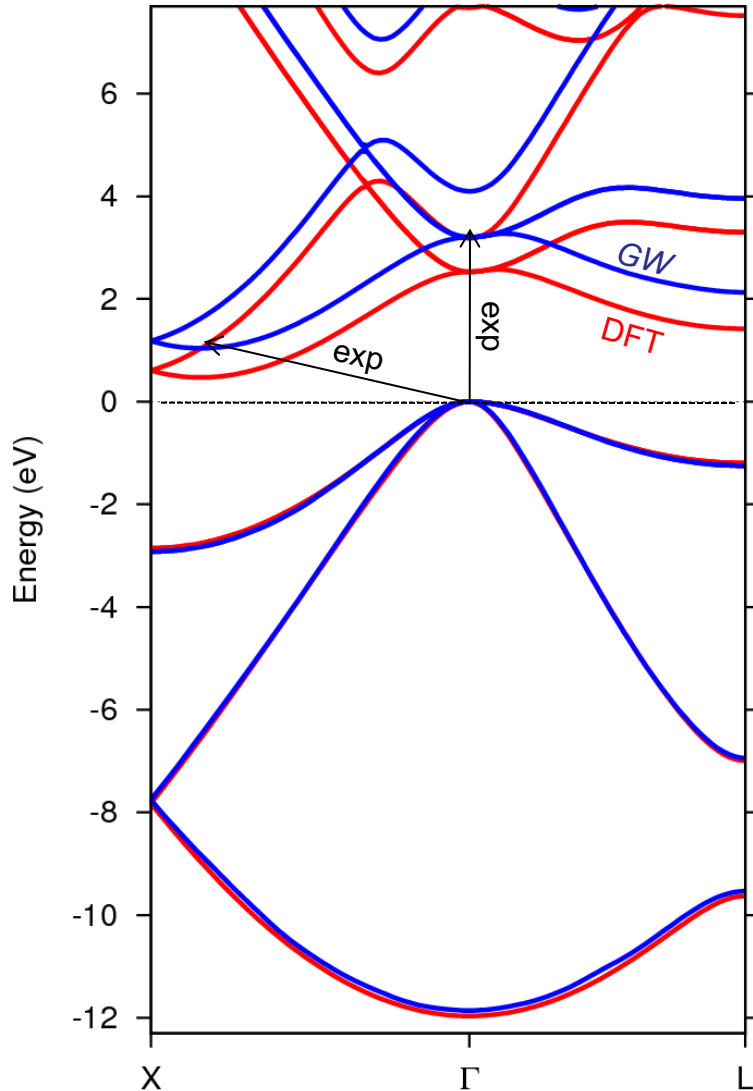
Applications

Bulk Silicon



Applications

Bulk Silicon



- Si was the first material to which GW was applied (Hybertsen, Louie 1985; Godby, Schlüter, Sham 1986).

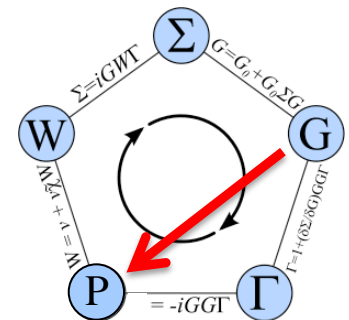
- The one-shot GW calculation yields more accurate band gaps:

	DFT	GW	exp.
direct:	2.53	3.20	3.40 eV
indirect:	0.47	1.04	1.17 eV

- Quasiparticle self-consistent GW (QS GW) tends to overestimate the gaps:

	QS GW
direct:	3.60 eV
indirect:	1.34 eV

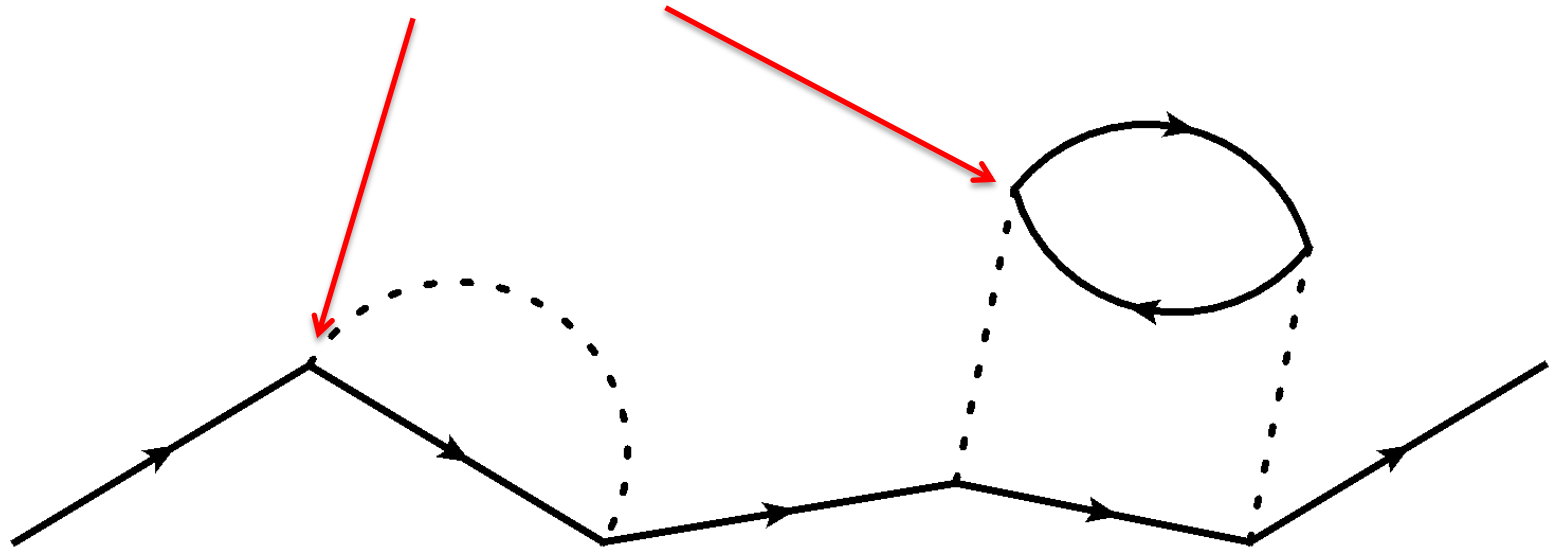
QS GW: S. V. Faleev, M. van Schilfhaarde, and T. Kotani, Phys. Rev. Lett. 93, 126406 (2004).



Applications

Band convergence

Scattering into unoccupied states



spex.inp: keyword "NBAND".

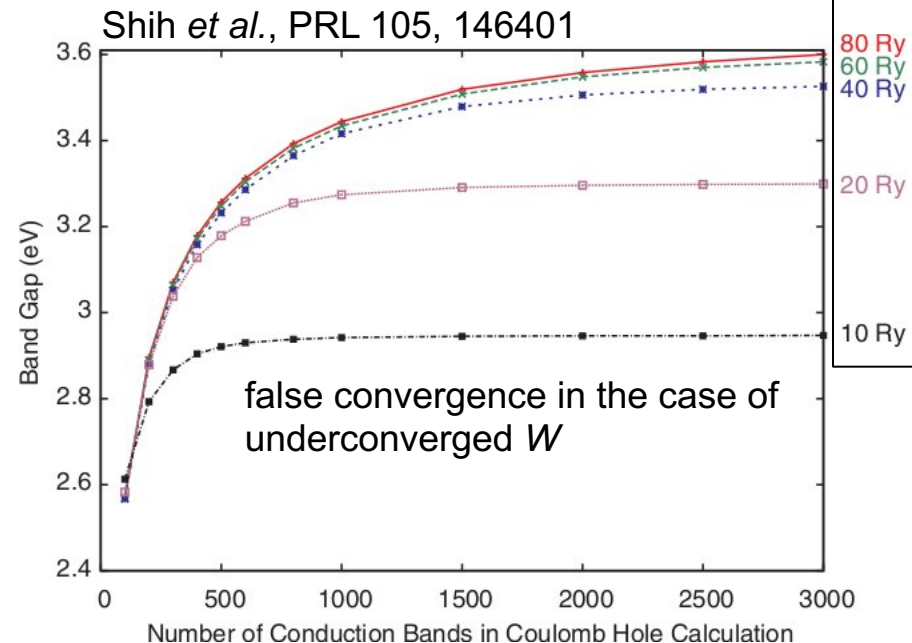
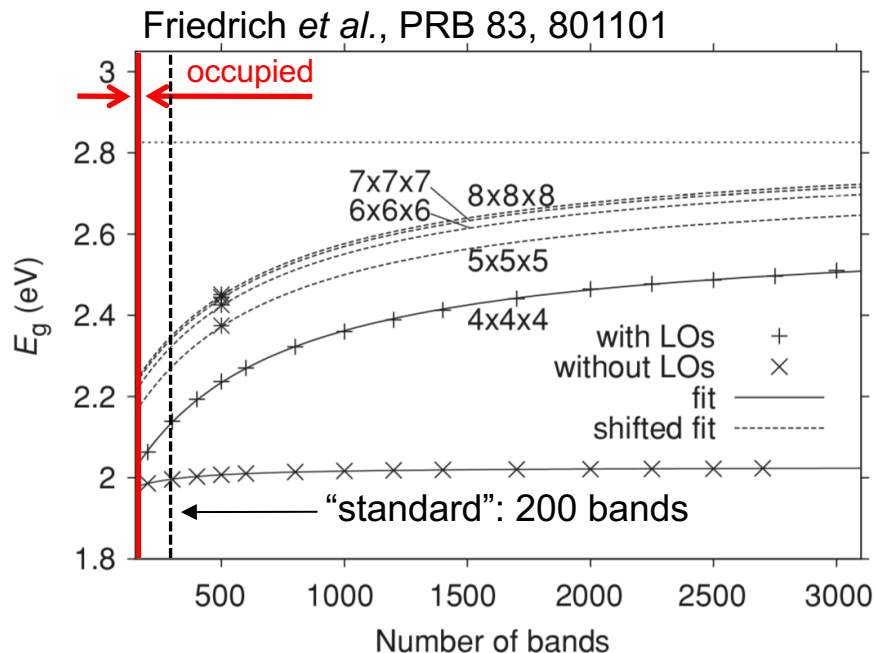
Applications

Zinc Oxide - band convergence

Large scatter of band-gap values from *one-shot* GW calculations (exp: 3.4 eV):

- 2.44 eV (FLAPW) [M. Usuda *et al.*, Phys. Rev. B **66**, 125101 (2002)]
- 2.12 eV (PAW) [M. Shishkin and G. Kresse, PRB **75**, 235102 (2007)]
- 2.14 eV (PAW) [F. Fuchs *et al.*, Phys. Rev. B **76**, 115109 (2007)]
- 2.6 eV (PW-PP) [P. Gori *et al.*, Phys. Rev. B **81**, 125207 (2010)]
- 3.4 eV (PW-PP) [B.-C. Shih *et al.*, Phys. Rev. Lett. **105**, 146401 (2010)]
- 2.83 eV (FLAPW) [C. Friedrich *et al.*, Phys. Rev. B **83**, 081101 (2011)]

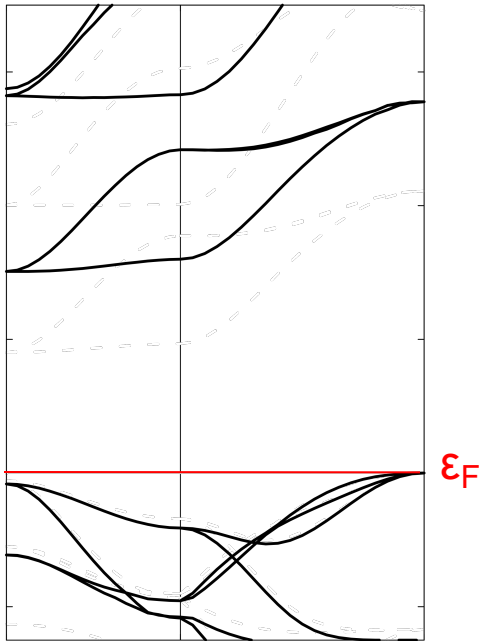
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Applications

GW for metals

semiconductor



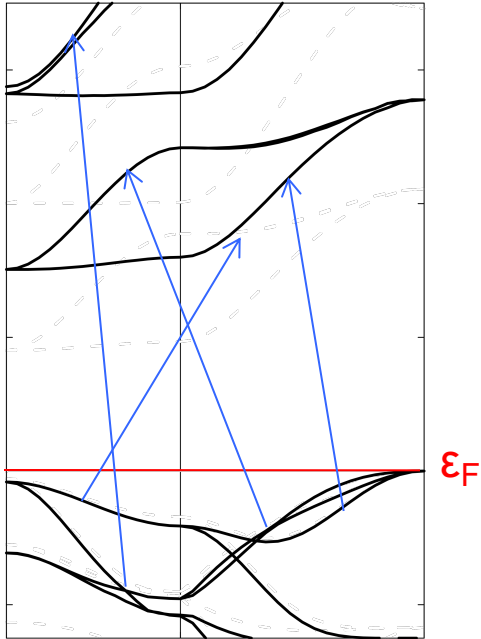
The polarization function is sum over virtual transitions in the non-interacting reference system.

$$P_{IJ}(\mathbf{k}, \omega) = \sum_{\sigma, \mathbf{q}} \sum_n^{\text{occ}} \sum_{n'}^{\text{unocc}} \dots \left(\frac{1}{\omega + \epsilon_{\mathbf{q}n}^{\sigma} - \epsilon_{\mathbf{k}+\mathbf{q}n'}^{\sigma} + i\eta} - \dots \right)$$

Applications

GW for metals

semiconductor



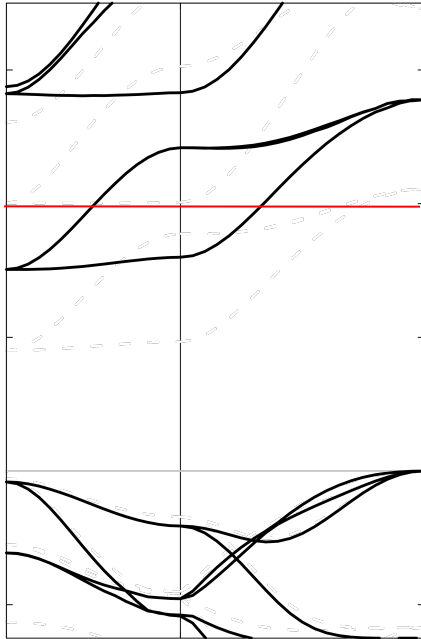
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Applications

GW for metals

metal



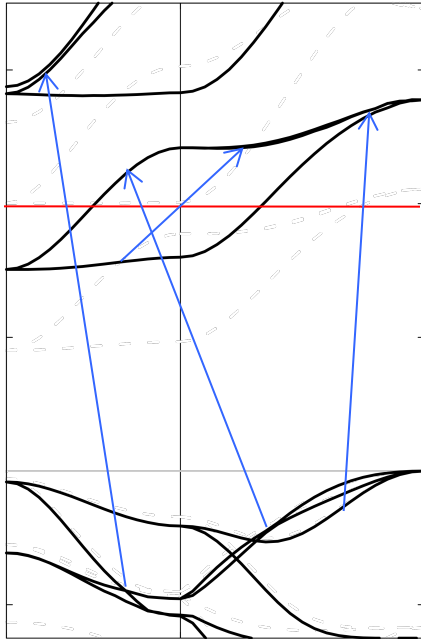
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Applications

GW for metals

metal



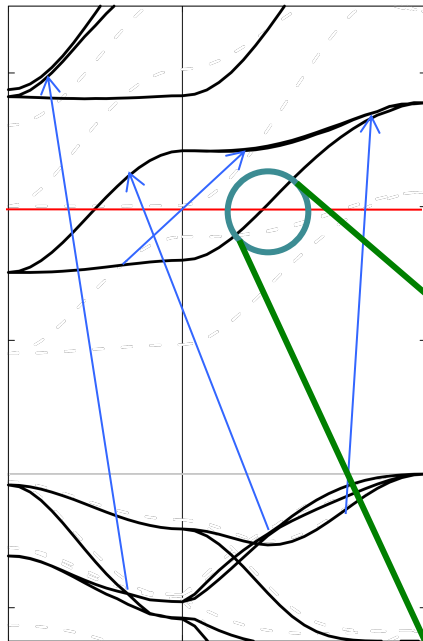
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Applications

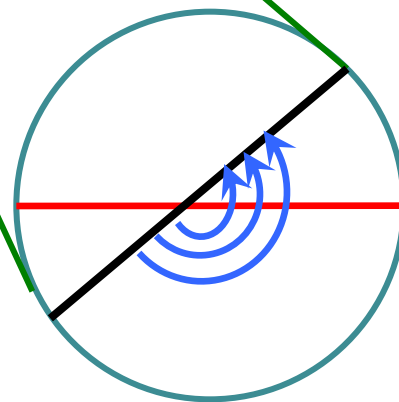
GW for metals

metal



The polarization function is sum over virtual transitions in the non-interacting reference system.

$$P_{IJ}(\mathbf{k}, \omega) = \sum_{\sigma, \mathbf{q}} \sum_n^{occ} \sum_{n'}^{unocc} \dots \left(\frac{1}{\omega + \epsilon_{\mathbf{q}n}^{\sigma} - \epsilon_{\mathbf{k}+\mathbf{q}n'}^{\sigma} + i\eta} - \dots \right)$$



Virtual transitions of zero energy just across the Fermi surface produce the **Drude** term

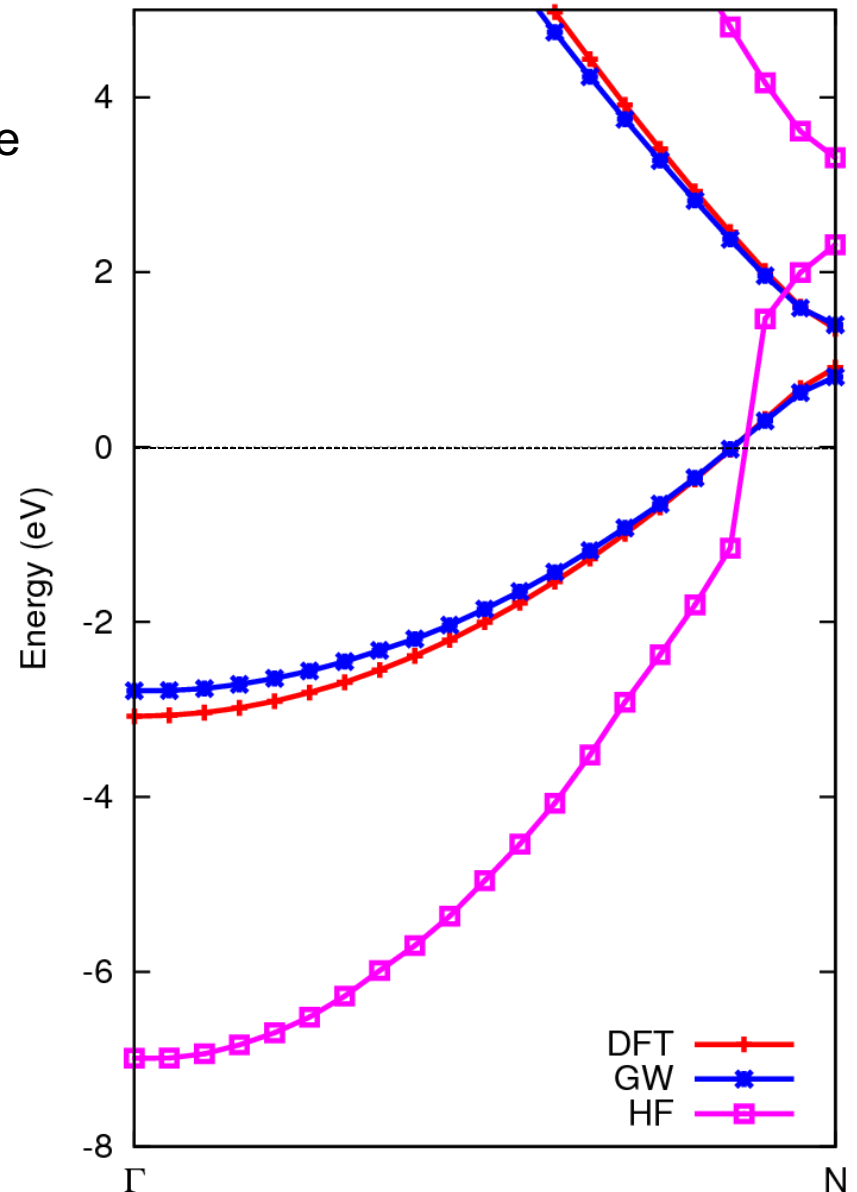
$$P_{\text{head}}^D(\mathbf{k}, i\omega) \sim \frac{k^2}{4\pi} \frac{\omega_{\text{pl}}^2}{\omega(\omega + i\eta)}$$

for $k \rightarrow 0$.

Applications

Sodium

- Vanishing of the density of states at the Fermi energy in HF is exactly compensated by the *GW* correlation self-energy.
- *GW* band width smaller than KS band width due to increased effective (quasiparticle) mass.



GW approximation including SOC

Spin-orbit coupling (SOC) arises from the interaction of the electron spin with the \mathbf{B} field that is created in the rest frame of the electron due to its motion through the material \rightarrow coupling of spatial and spin degrees of freedom:

$$G_{\alpha\beta}(\mathbf{r}, \mathbf{r}'; \omega) = \sum_{\mathbf{k}n} \frac{\varphi_{\mathbf{k}n}(\mathbf{r}, \alpha) \varphi_{\mathbf{k}n}(\mathbf{r}', \beta)}{\omega - \varepsilon_{\mathbf{k}n} \pm i\eta}$$

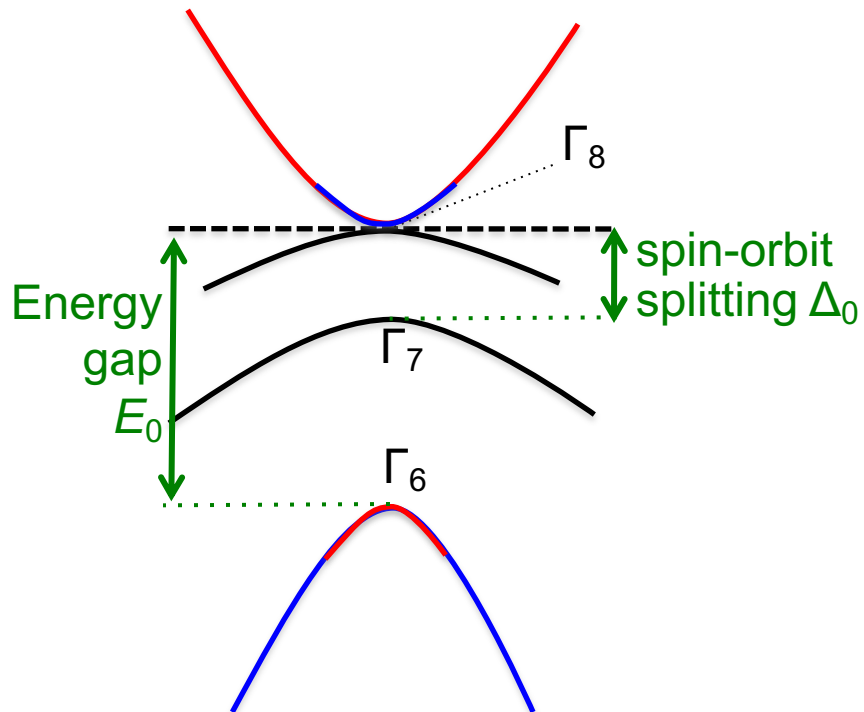
$$P(\mathbf{r}, \mathbf{r}'; \omega) = -\frac{i}{2\pi} \sum_{\alpha\beta} \int G_{\alpha\beta}(\mathbf{r}, \mathbf{r}'; \omega + \omega') G_{\beta\alpha}(\mathbf{r}', \mathbf{r}; \omega') d\omega'$$

$$\Sigma_{\alpha\beta}(\mathbf{r}, \mathbf{r}'; \omega) = \frac{i}{2\pi} \int G_{\alpha\beta}(\mathbf{r}, \mathbf{r}'; \omega + \omega') W(\mathbf{r}, \mathbf{r}'; \omega') e^{i\eta\omega'} d\omega'$$

F. Aryasetiawan, S. Biermann, PRL **100**, 116402 (2008).

R. Sakuma, C. Friedrich, T. Miyake, S. Blügel, F. Aryasetiawan, PRB **84**, 085144 (2011).

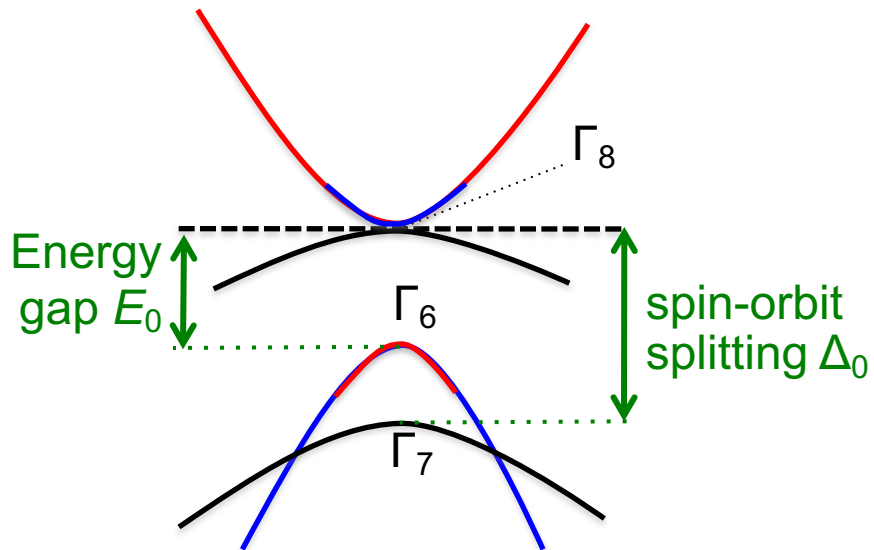
Bulk HgTe



	E_0	Δ_0
LDA+SOC	-1.16	0.74
GW+SOC	-0.59	0.74
$G^{\text{SOC}}W^{\text{SOC}}$	-0.60	0.83
QSGW+SOC	-0.43	0.75
$QSG^{\text{SOC}}W^{\text{SOC}}$	-0.46	0.93
Experiment	-0.30	0.91

calculations with semicores
Hg 5p and Te 4d

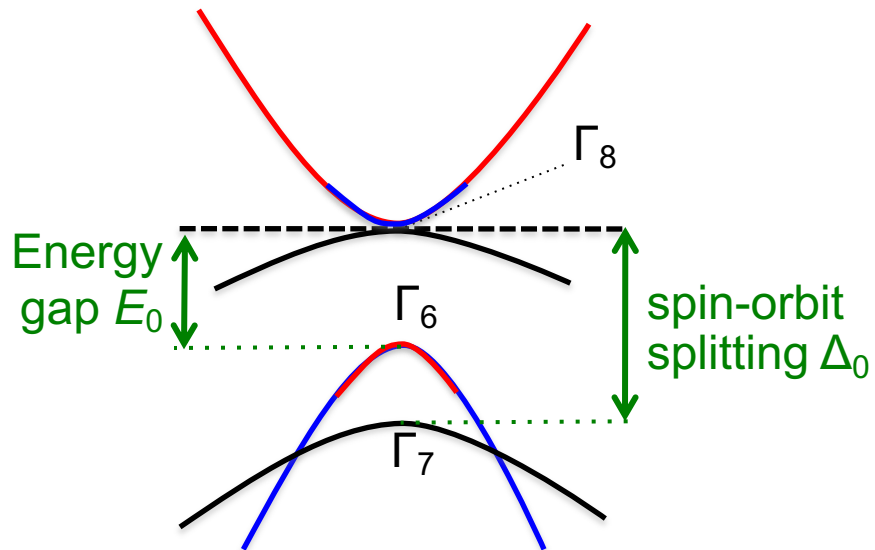
Bulk HgTe



	E_0	Δ_0
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calculations with semicores
Hg 5p and Te 4d

Bulk HgTe

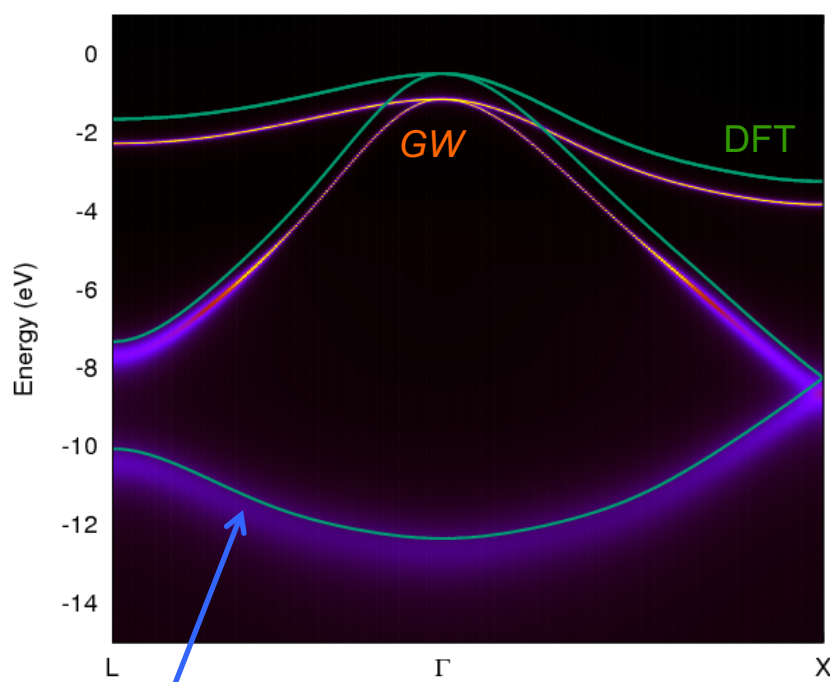


	E_0	Δ_0
LDA+SOC	-1.16	0.74
GW+SOC	-0.59	0.74
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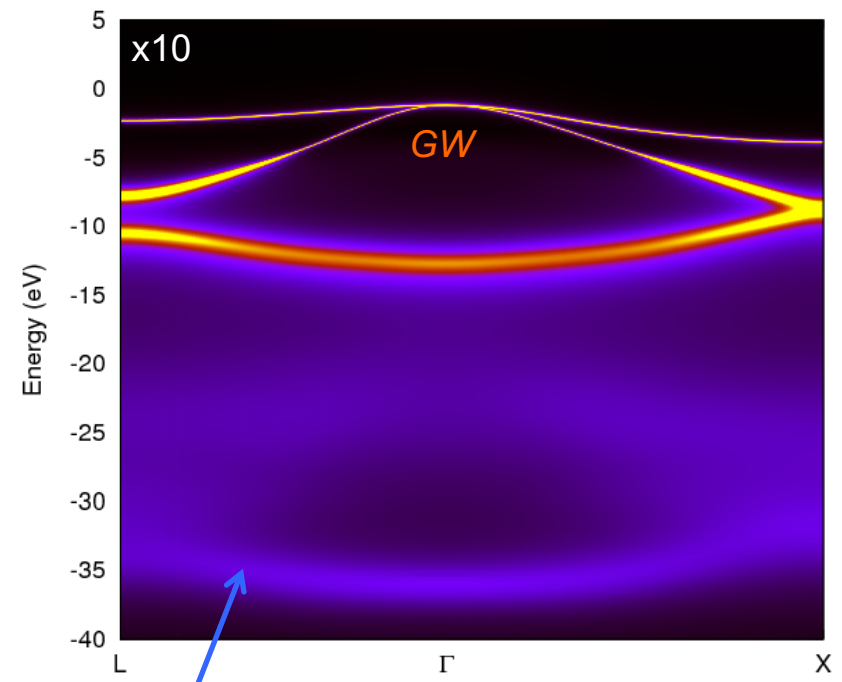
calculations with semicores
Hg 5p and Te 4d

Applications

Many-body effects: lifetime broadening and plasma satellites



Renormalization due to formation of electron-hole pairs (density fluctuations)

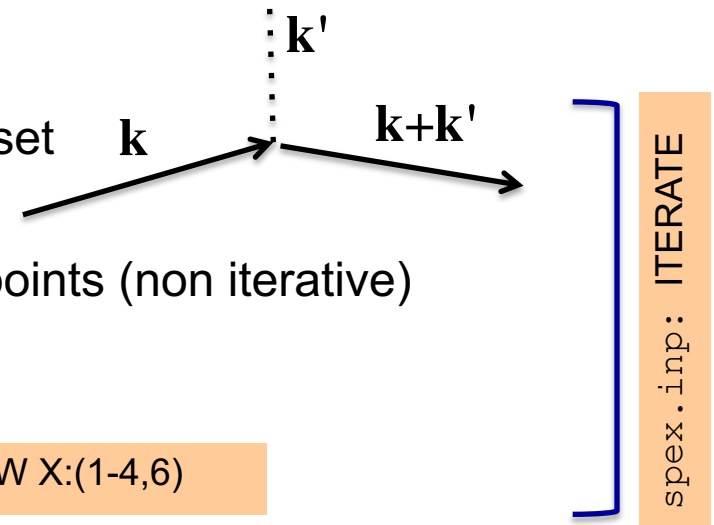


Plasmaron bands (electrons+plasmons)

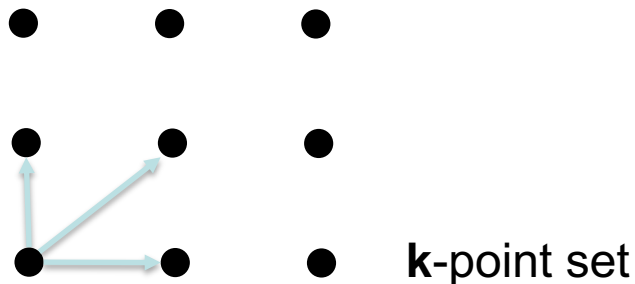
Computational procedure

One-Shot GW

- **FLEUR**: Self-consistent field calculation
→ Density, Exchange-correlation potential
- **SPEX**: Generate special equidistant k-point set
 \mathbf{k} , \mathbf{k}' , $\mathbf{k}+\mathbf{k}'$, and $\mathbf{0}$ must be elements
- **FLEUR**: Diagonalize Hamiltonian on new k points (non iterative)
→ Kohn-Sham energies and wavefunctions
- **SPEX**: GW calculation
→ Quasiparticle energies



`spex.inp: JOB GW X:(1-4,6)`



Computational procedure

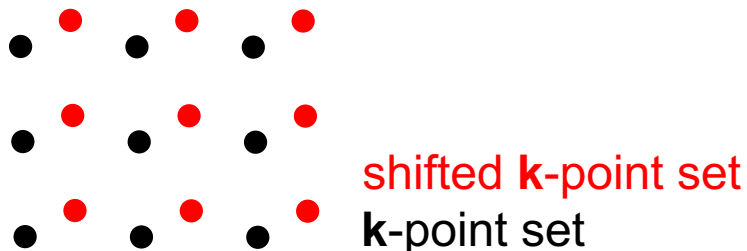
GW band structure

- **FLEUR**: Self-consistent field calculation
→ Density, Exchange-correlation potential
- **SPEX**: Define q-point path `spex.inp: KPTPATH (L,G,X)`
→ {**q**}

Loop over **q** (high-symmetry line in Brillouin zone)

- **SPEX**: Generate **two sets** of equidistant k-points
→ {**k**}, {**k+q**}
- **FLEUR**: Diagonalize Hamiltonian on new k points (non iterative)
→ Kohn-Sham energies and wavefunctions
- **SPEX**: GW calculation (*W* has to be calculated only once)
→ Quasiparticle energies at **q**

`spex.inp: keyword "RESTART".`



“spex.band”

Computational procedure

Wannier interpolation

- **FLEUR**: Self-consistent field calculation
→ Density, Exchange-correlation potential
- **SPEX**: Generate special equidistant k-point set
 \mathbf{k} , \mathbf{k}' , $\mathbf{k}+\mathbf{k}'$, and $\mathbf{0}$ must be elements
- **FLEUR**: Diagonalize Hamiltonian on new k points (non iterative)
→ Kohn-Sham energies and wavefunctions
- **SPEX**: GW calculation
→ Quasiparticle energies

```
spex.inp: JOB GW IBZ:(1-4)
```

```
spex.inp: KPTPATH (L,G,X)
```

```
spex.inp: section "WANNIER":
```

```
SECTION WANNIER  
ORBITALS 1 4 (sp3)  
MAXIMIZE  
INTERPOL  
END
```


Computational procedure

Self-consistent GW (QSGW)

- **FLEUR**: Self-consistent field calculation
→ Density, Exchange-correlation potential
- **SPEX**: Generate special equidistant k-point set
k, **q**, **k+q**, and **0** must be elements

Start of iterations

- **FLEUR**: Diagonalize Hamiltonian on new k points (non iterative)
→ Kohn-Sham energies and wavefunctions
- **SPEX**: GW calculation on all k points and many bands (costly)
→ Quasiparticle energies and self-energy matrix
- **FLEUR**: Self-consistent field calculation (with self-energy!)
→ Density, Exchange-correlation potential

“spex.selfc”

```
spex.inp: JOB GW FULL IBZ:(1-4)
```

Compilation and Installation

- **Configuration**

```
% ./configure --with-wan --prefix=$HOME
```

generates the Makefile for the computer system

- **Compilation**

```
% make
```

produces the three executables spex.inv, spex.noinv, and spex.extr;
the launcher script "spex" always calls the correct executable

- **Installation**

```
% make install
```

installs executables and scripts into \$HOME/bin

- The **PATH environment variable** should contain \$HOME/bin

If not:

```
% export PATH=$PATH:$HOME/bin
```

Summary

- **Excitation energies and lifetimes** of the $(N+1)$ and $(N-1)$ -electron system can be readily obtained from the **one-particle Green function**. These excitation energies form the band structure in solids.
- The Green function obeys an integral **Dyson equation** which may be rewritten as a **quasiparticle equation** with the self-energy as a scattering potential that takes into account all exchange and correlation effects beyond the Hartree potential.
- The **GW approximation** constitutes the expansion of the self-energy up to linear order in the screened interaction W .
- It is usually implemented as a **perturbative correction** on a DFT band structure. But a self-consistent solution (QSGW) is possible, too.