

BAND UNFOLDING FOR SUPERCELL CALCULATIONS

SEPTEMBER 10th, 2019 | STEFAN ROST

Peter Grünberg Institut, Forschungszentrum Jülich and JARA, 52425 Jülich, Germany

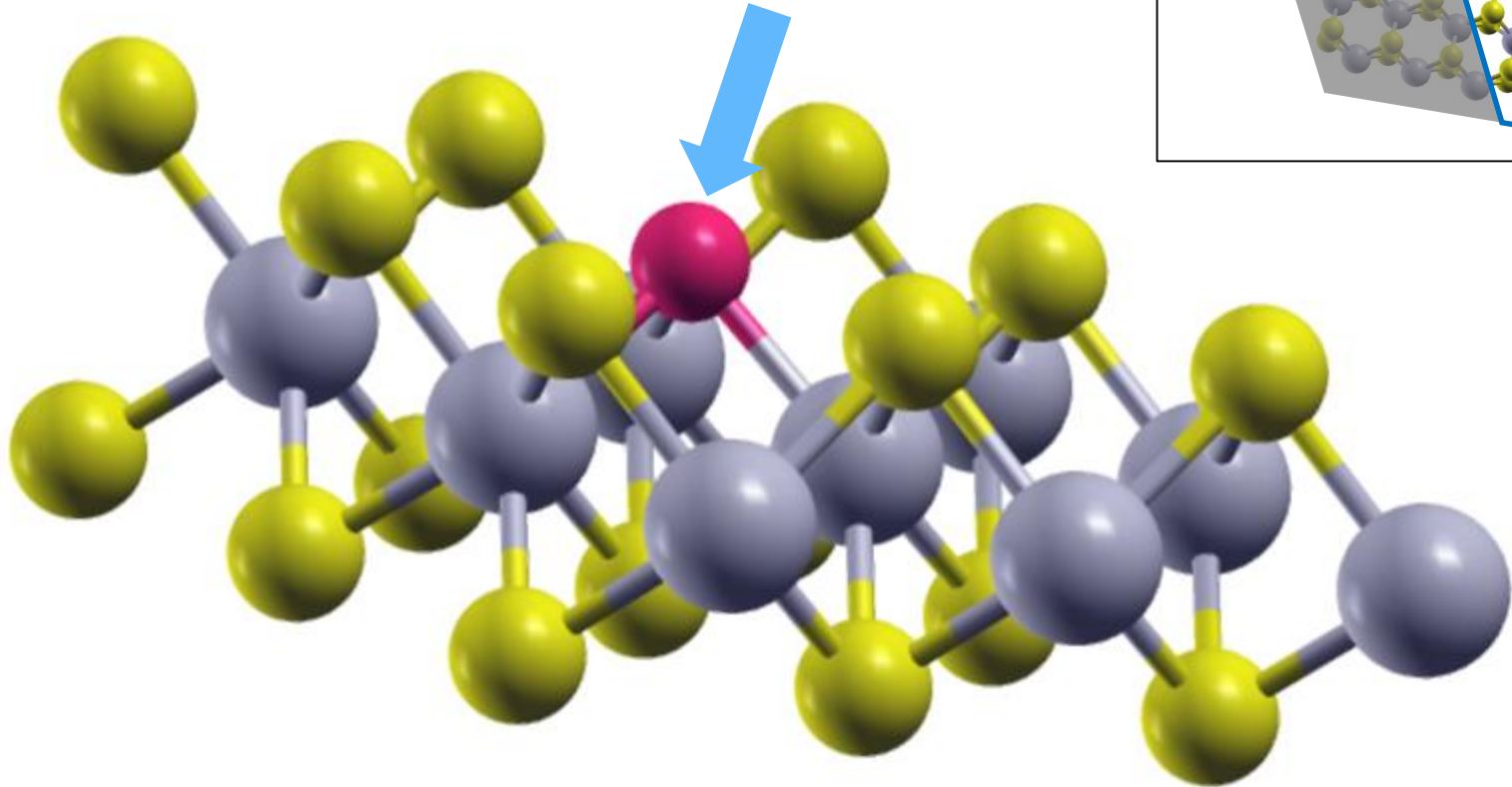
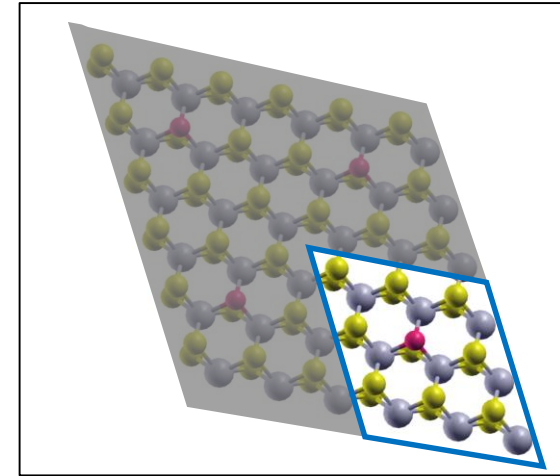
Mitglied der Helmholtz-Gemeinschaft

MAX DRIVING
THE EXASCALE
TRANSITION

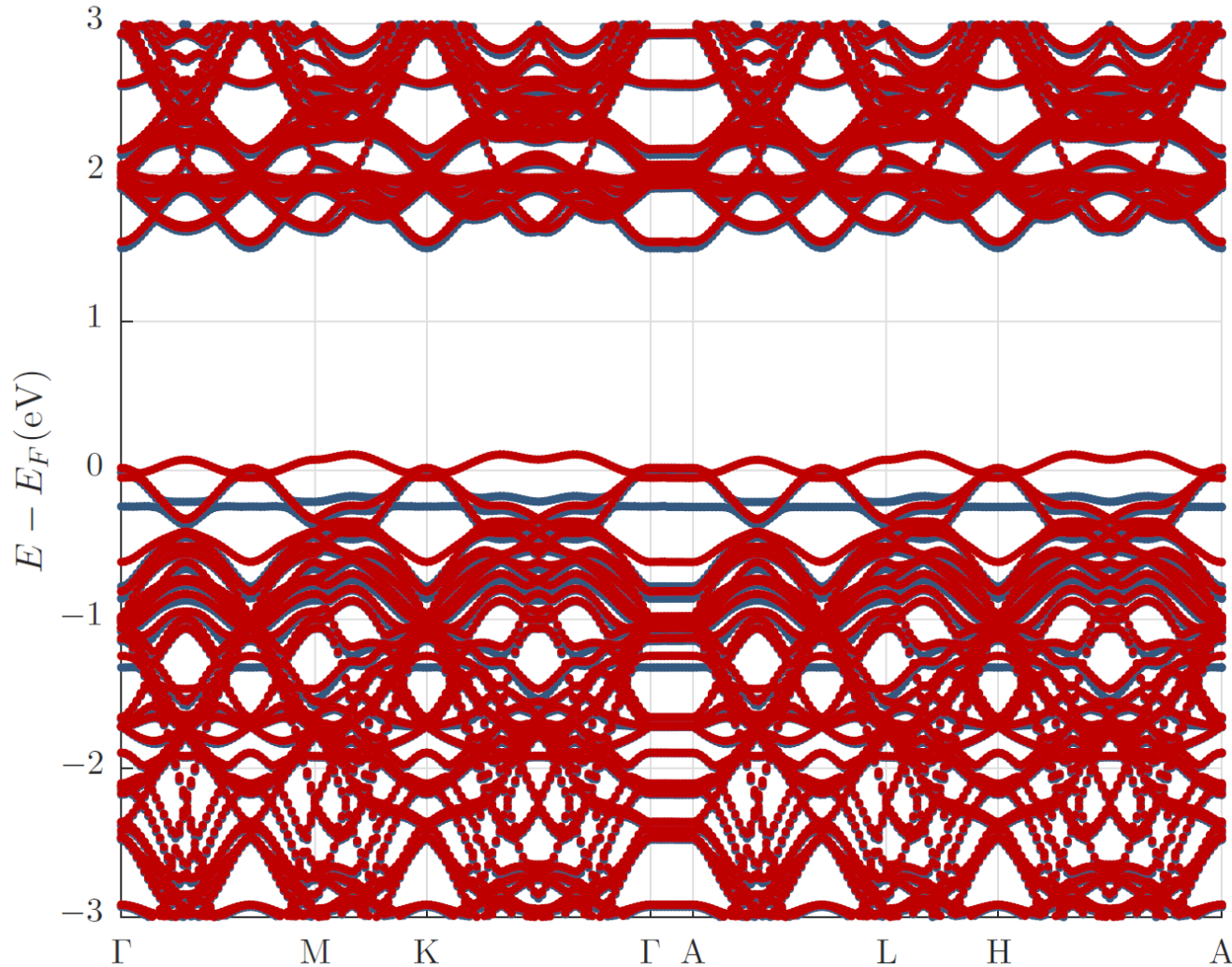
 **JÜLICH**
Forschungszentrum

MOTIVATION – DEFECT STATE

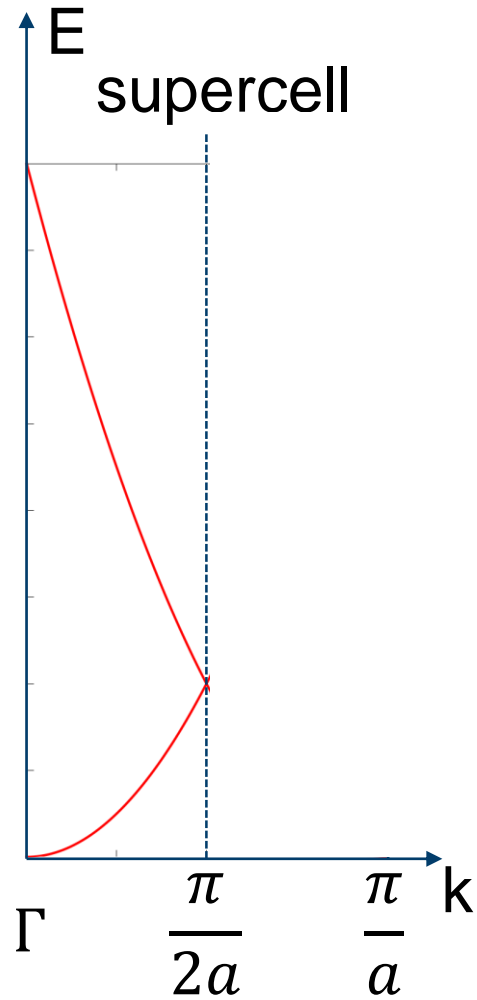
phosphorus atom
relaxes inwards



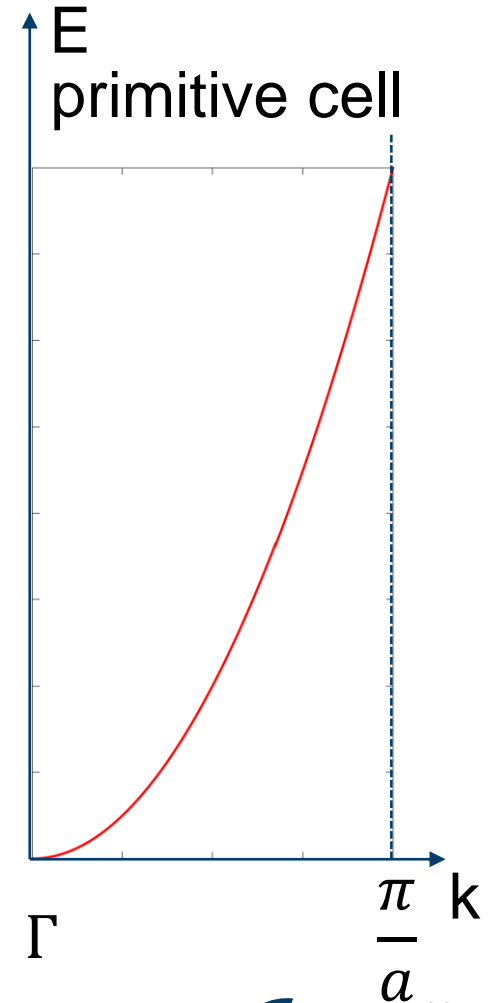
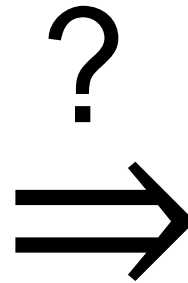
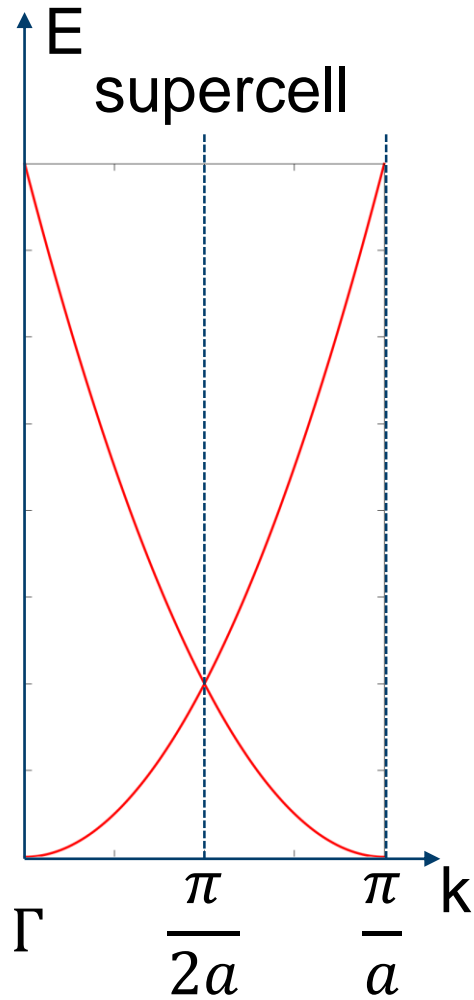
BAND STRUCTURE MoSe₂ + P



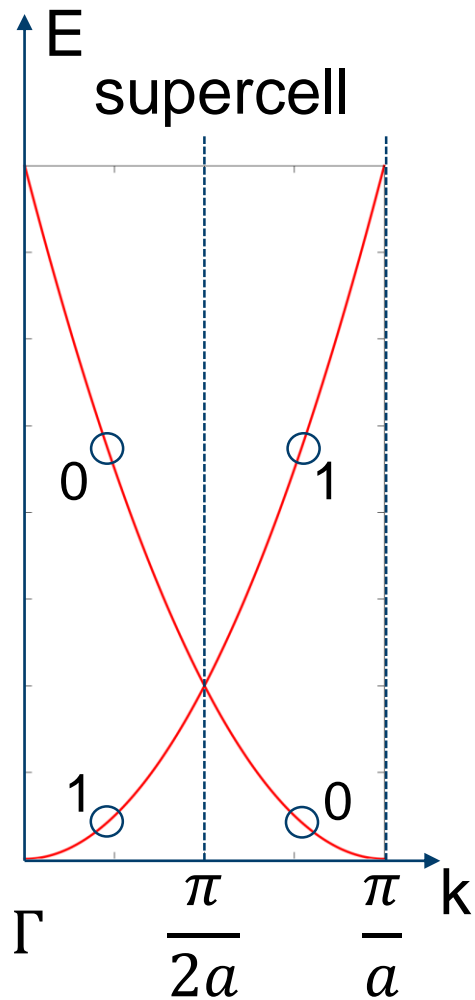
MOTIVATION



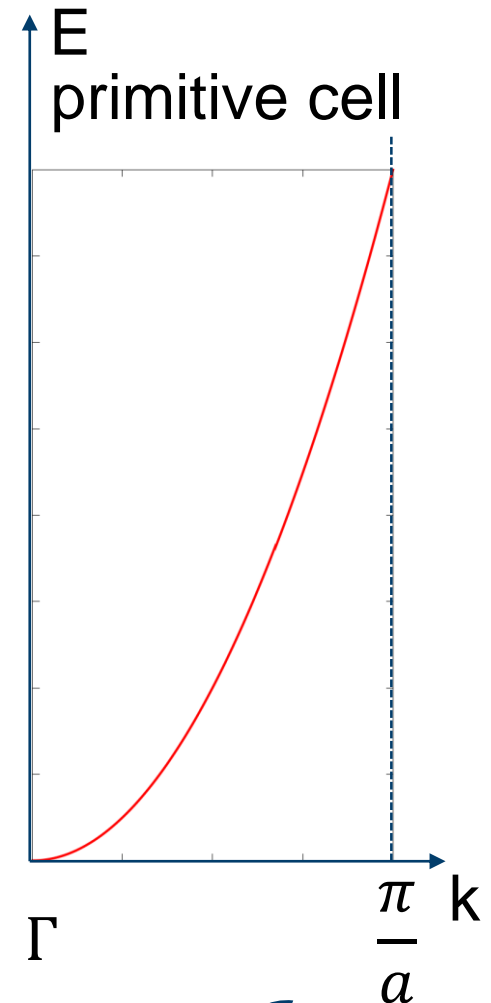
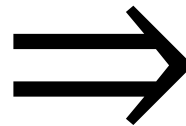
MOTIVATION



MOTIVATION



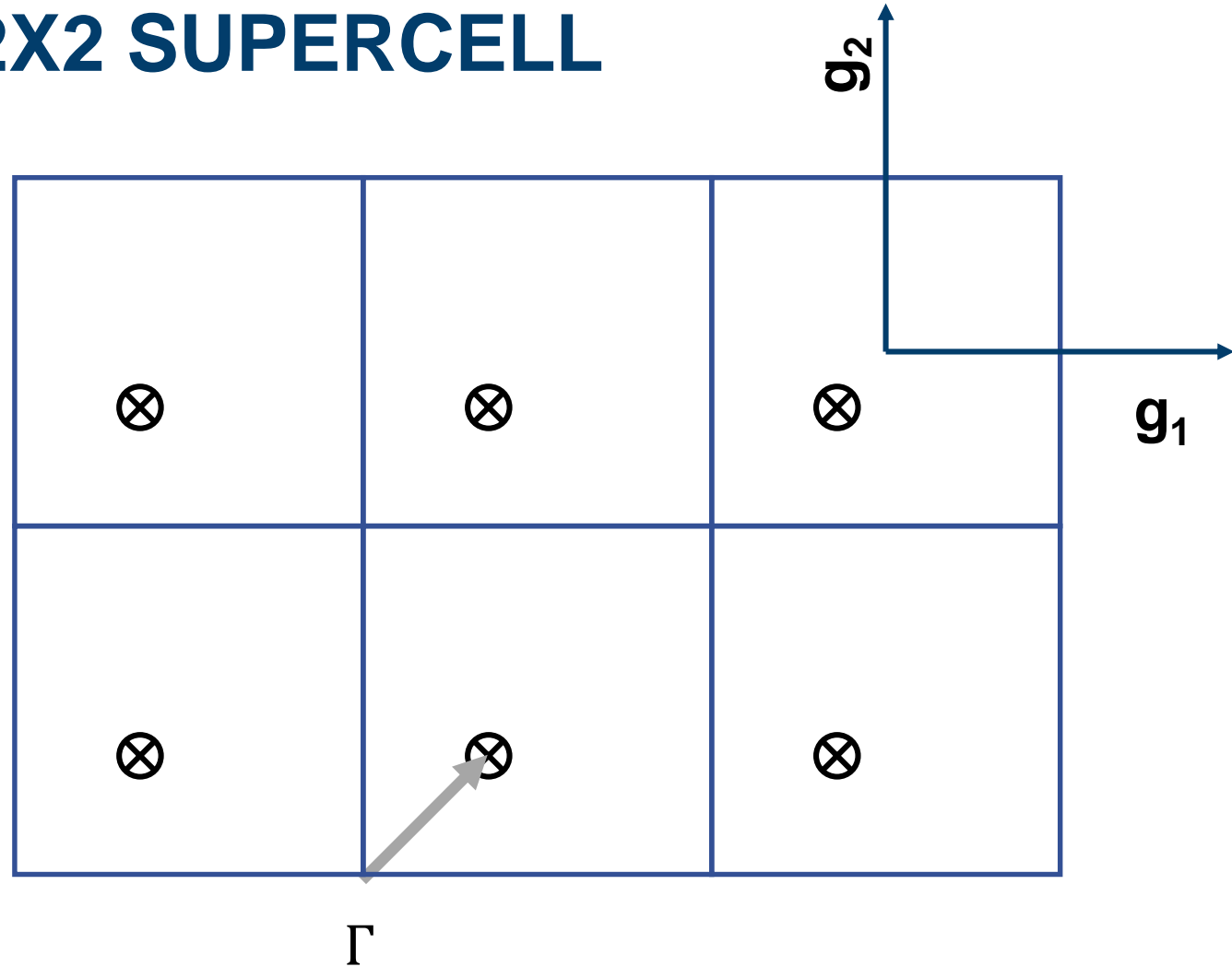
spectral weights



UNFOLDING OF A BAND STRUCTURE

EXAMPLE 2X2 SUPERCELL

$\mathbf{g}_1, \mathbf{g}_2$
reciprocal lattice
vectors of unit cell



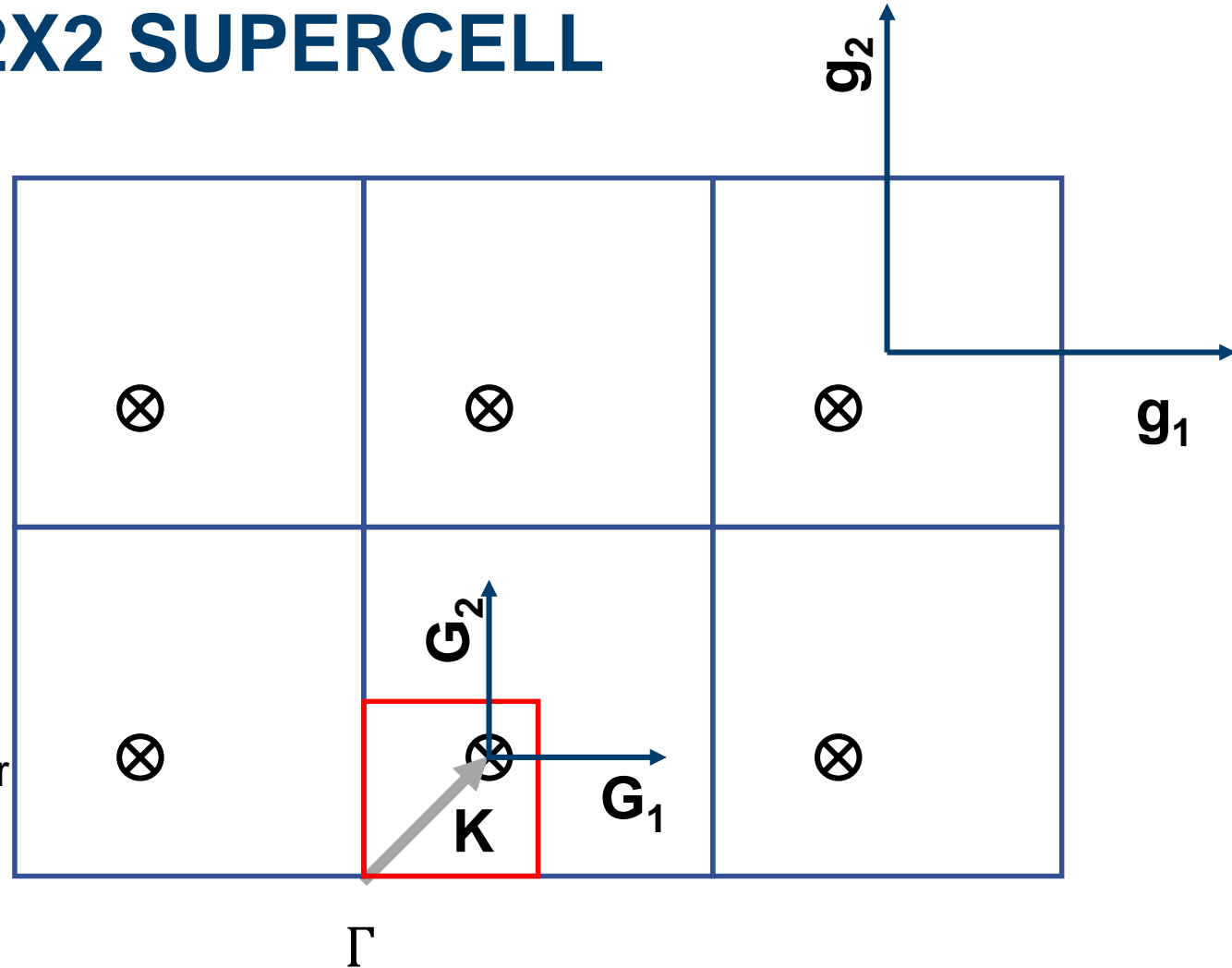
UNFOLDING OF A BAND STRUCTURE

EXAMPLE 2X2 SUPERCELL

$\mathbf{g}_1, \mathbf{g}_2$
reciprocal lattice
vectors of unit cell

$\mathbf{G}_1, \mathbf{G}_2$
reciprocal lattice vectors
of 2x2 super cell

\mathbf{K}
wave vector in 2x2 super
cell



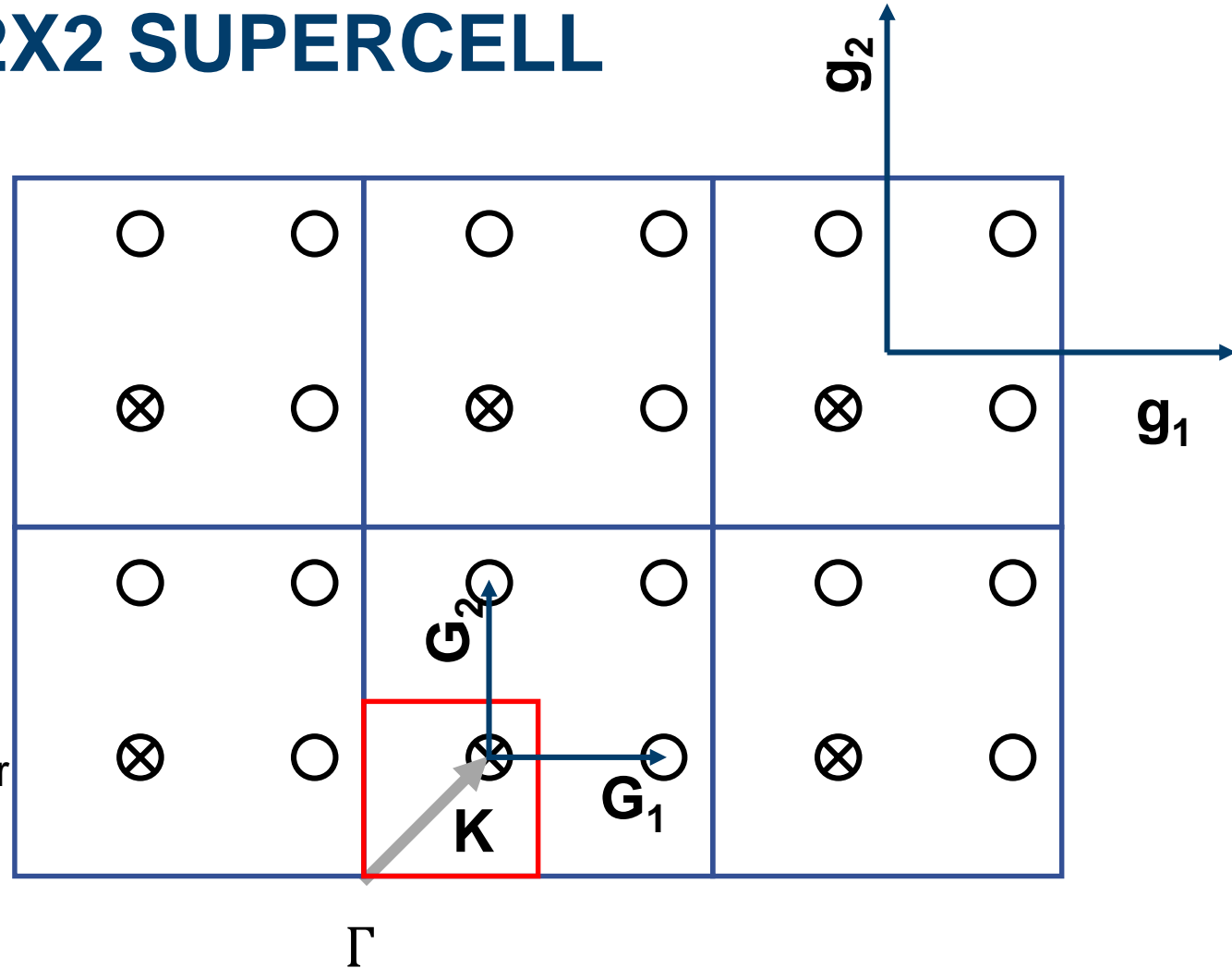
UNFOLDING OF A BAND STRUCTURE

EXAMPLE 2X2 SUPERCELL

$\mathbf{g}_1, \mathbf{g}_2$
reciprocal lattice
vectors of unit cell

$\mathbf{G}_1, \mathbf{G}_2$
reciprocal lattice vectors
of 2x2 supercell

\mathbf{K}
wave vector in 2x2 super
cell



UNFOLDING OF BAND STRUCTURE

$$\mathbf{k} = \mathbf{K} + m_1 \mathbf{G}_1 + m_2 \mathbf{G}_2$$

using plane waves:

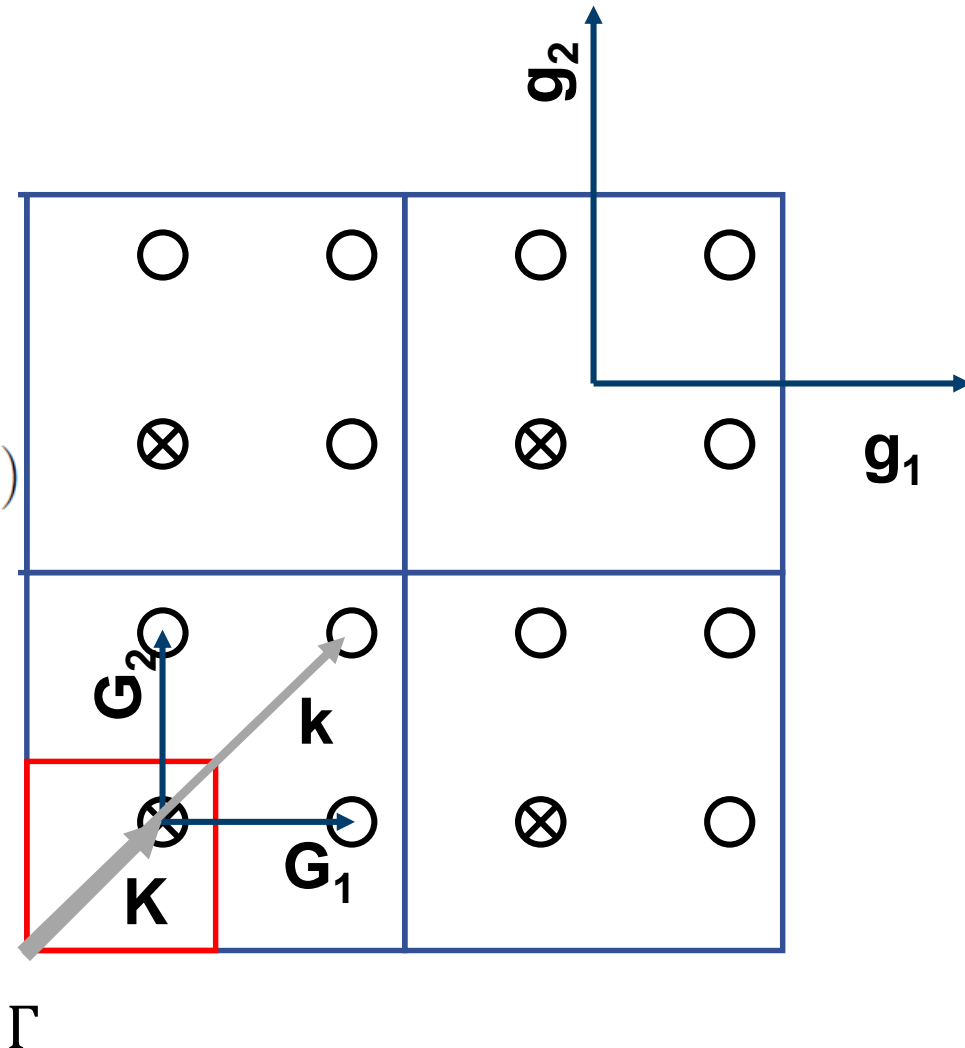
$$\Psi_{n,\mathbf{K}}(\mathbf{r}) = \sum_{\mathbf{G}} C_{n,\mathbf{K}}(\mathbf{G}) e^{i(\mathbf{K}+\mathbf{G})\cdot\mathbf{r}}$$

$$w_n(\mathbf{k}) = \sum_{\mathbf{G}} C_{n,\mathbf{K}}(\mathbf{G}) \cdot C_{n,\mathbf{K}}^*(\mathbf{G})$$

$$w_n(\mathbf{k}) = \sum_{\tilde{\mathbf{G}}} |C_{n,\mathbf{K}}(\tilde{\mathbf{G}})|^2$$

spectral weight

Subset of \mathbf{G} matching to one \mathbf{k} and its multiples in the unit cell



UNFOLDING OF BAND STRUCTURE

$$\mathbf{k} = \mathbf{K} + m_1 \mathbf{G}_1 + m_2 \mathbf{G}_2$$

using plane waves:

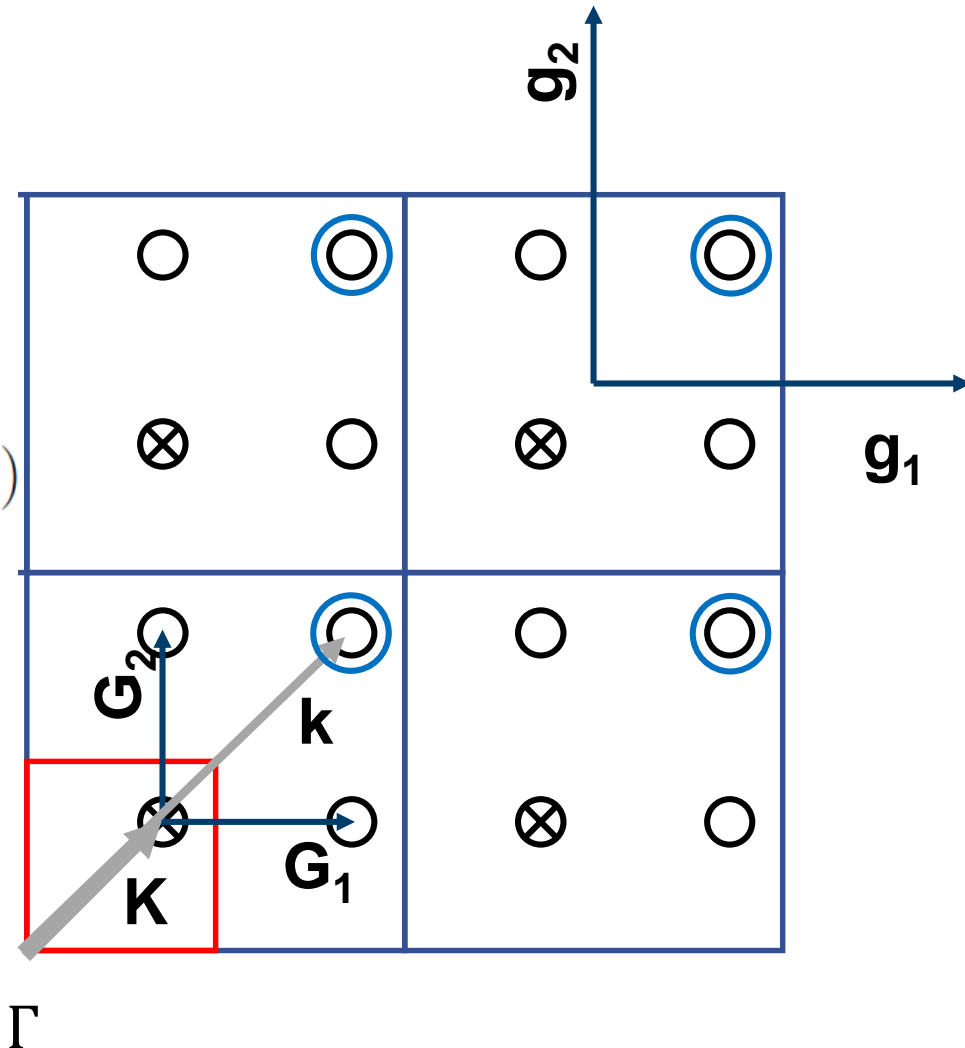
$$\Psi_{n,\mathbf{K}}(\mathbf{r}) = \sum_{\mathbf{G}} C_{n,\mathbf{K}}(\mathbf{G}) e^{i(\mathbf{K}+\mathbf{G})\cdot\mathbf{r}}$$

$$w_n(\mathbf{k}) = \sum_{\mathbf{G}} C_{n,\mathbf{K}}(\mathbf{G}) \cdot C_{n,\mathbf{K}}^*(\mathbf{G})$$

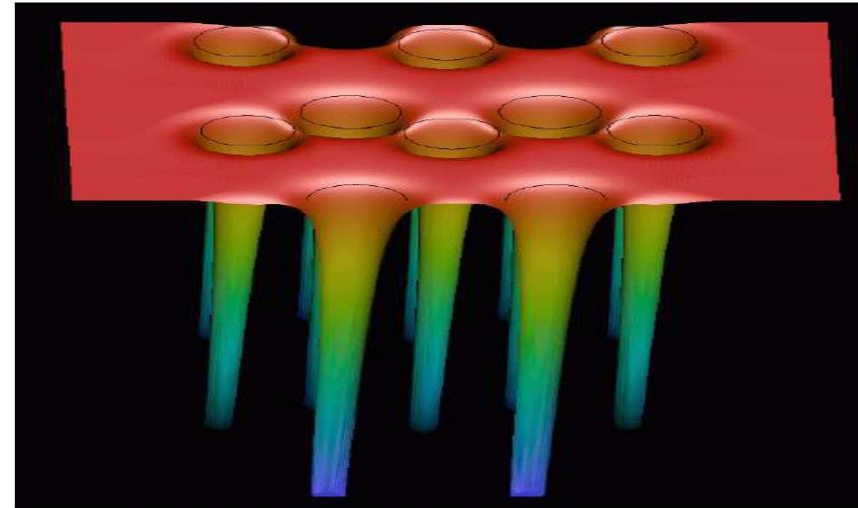
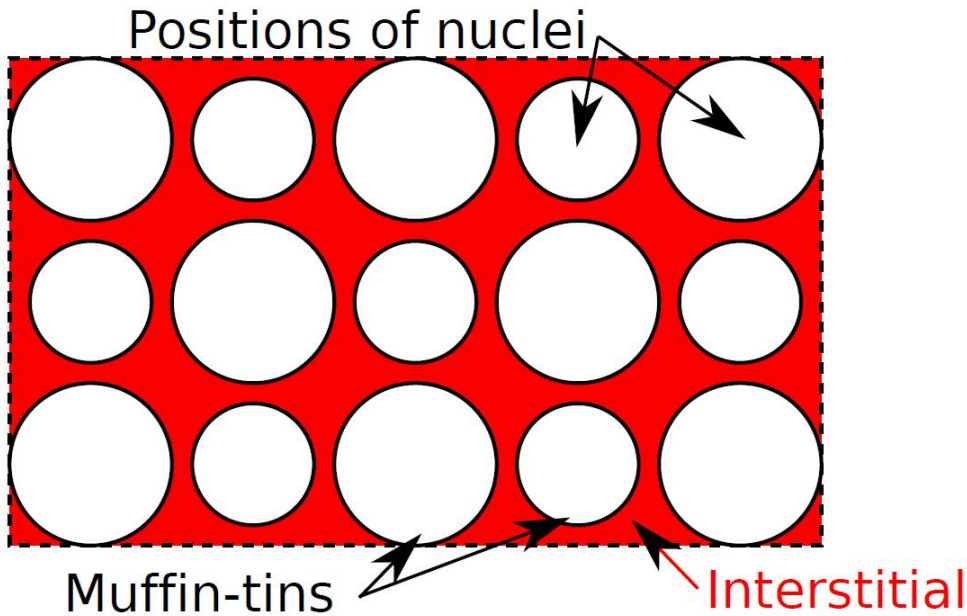
$$w_n(\mathbf{k}) = \sum_{\tilde{\mathbf{G}}} |C_{n,\mathbf{K}}(\tilde{\mathbf{G}})|^2$$

spectral weight

Subset of \mathbf{G} matching to one \mathbf{k} and its multiples in the unit cell



LAPW BASIS



D. Wortmann. "DFT in practice". In: Computing Solids. Forschungszentrum Jülich GmbH.

$$\chi_{\mathbf{K},\mathbf{G}}(\mathbf{r}) = \begin{cases} e^{i(\mathbf{K}+\mathbf{G})\mathbf{r}} & \text{interstitial region} \\ \sum_{l,m} \left(a_{lm}^{\alpha,\mathbf{G}}(\mathbf{K}) u_l^{\alpha}(r^{\alpha}) + b_{lm}^{\alpha,\mathbf{G}}(\mathbf{K}) \dot{u}_l^{\alpha}(r^{\alpha}) \right) Y_{lm} & \text{muffin-tin } \alpha \end{cases}$$

UNFOLDING OF BANDSTRUCTURE (2)

For the LAPW basis:

$$\Psi_{n,\mathbf{K}}(\mathbf{r}) = \sum_{\mathbf{G}} C_{n,\mathbf{K}}(\mathbf{G}) \cdot \chi_{\mathbf{K},\mathbf{G}}(\mathbf{r})$$

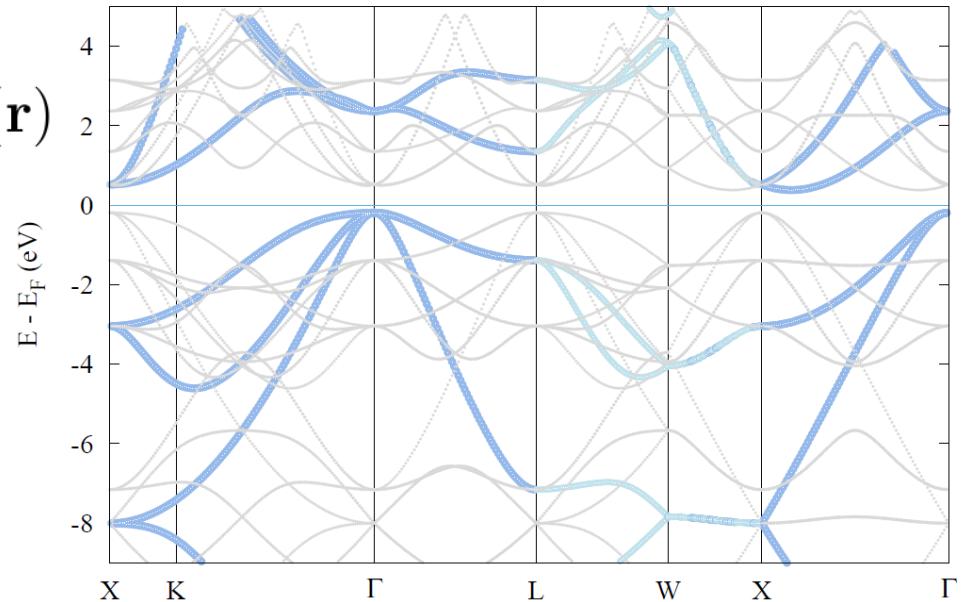
With an overlap matrix:

$$S_{\mathbf{K},\mathbf{G},\mathbf{G}'} = \langle \chi_{\mathbf{K},\mathbf{G}} | \chi_{\mathbf{K},\mathbf{G}'} \rangle$$

Spectral weight:

$$w_n(\mathbf{k}) = \sum_{\tilde{\mathbf{G}}(\mathbf{k})} \sum_{\mathbf{G}'} C_{n,\mathbf{K}(\mathbf{k})}^*(\tilde{\mathbf{G}}(\mathbf{k})) \cdot C_{n,\mathbf{K}(\mathbf{k})}(\mathbf{G}') \cdot S_{\mathbf{K}(\mathbf{k}),\tilde{\mathbf{G}}(\mathbf{k}),\mathbf{G}'}$$

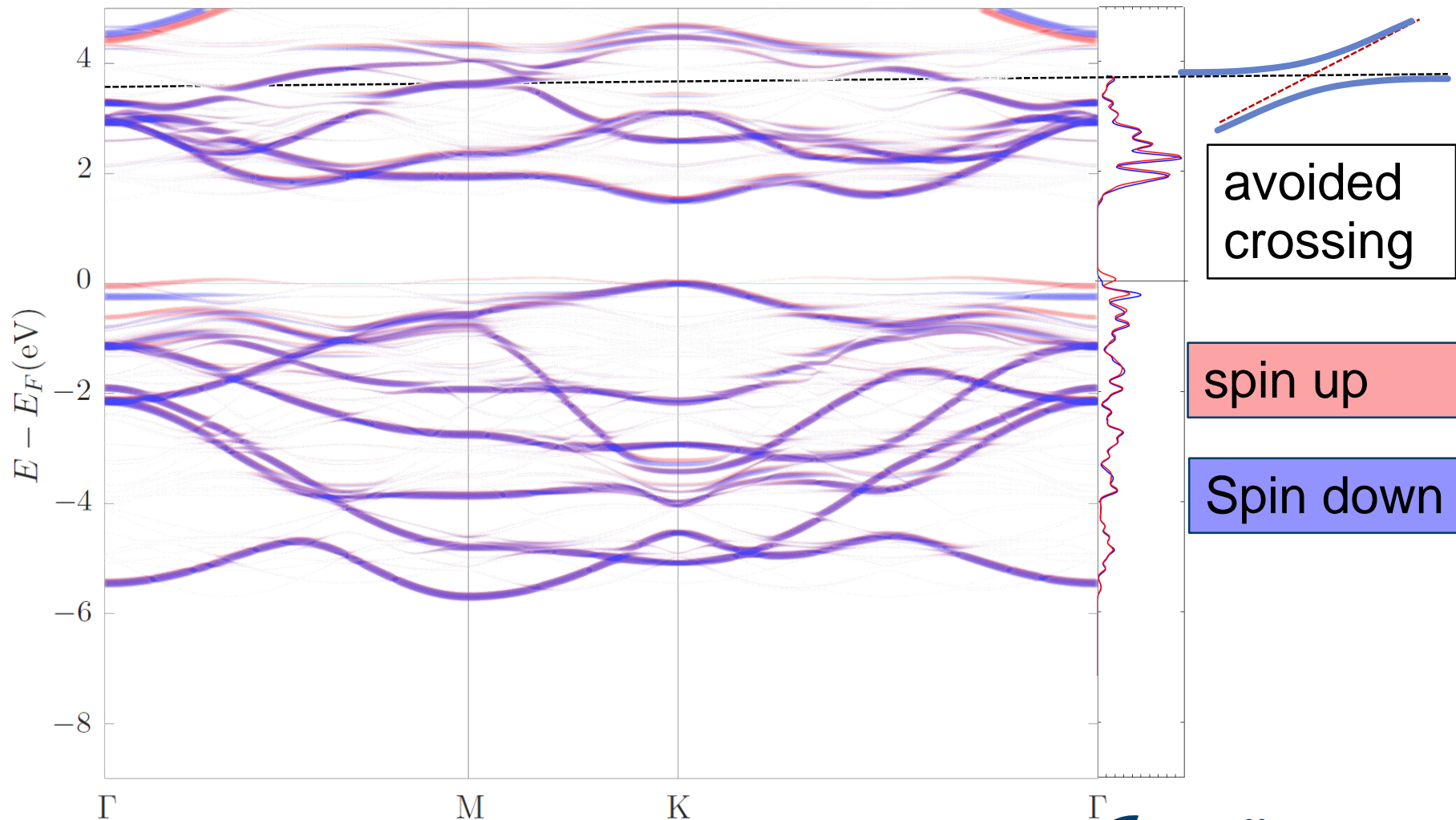
Test case - Si bulk



UNFOLDED BANDSTRUCTURE MoSe₂ + P

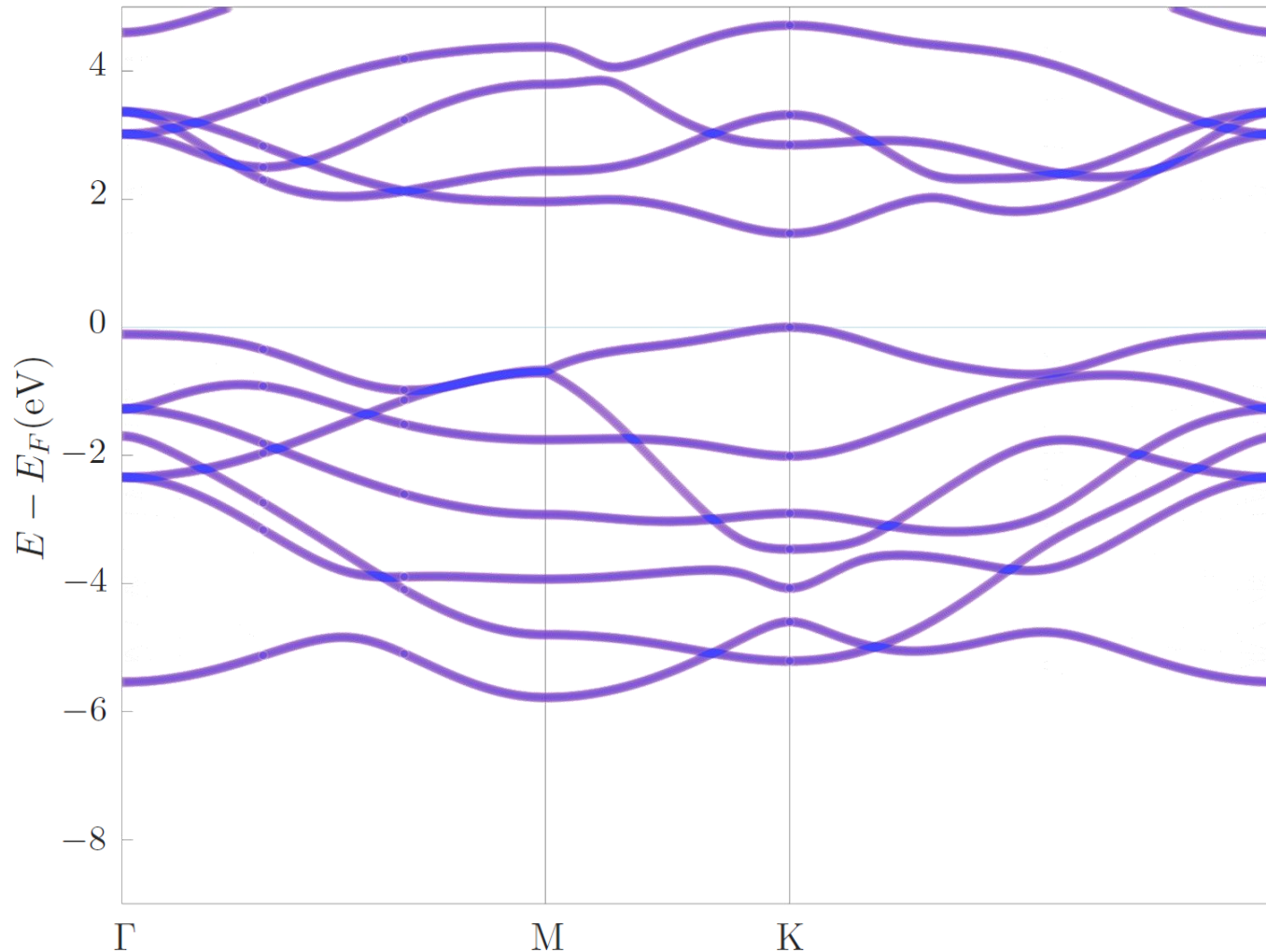
monolayer - relaxed

DOS [a.u.] @ P



UNFOLDED BANDSTRUCTURE MoSe₂

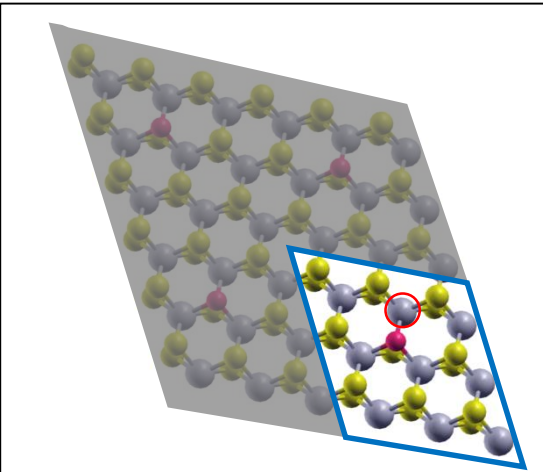
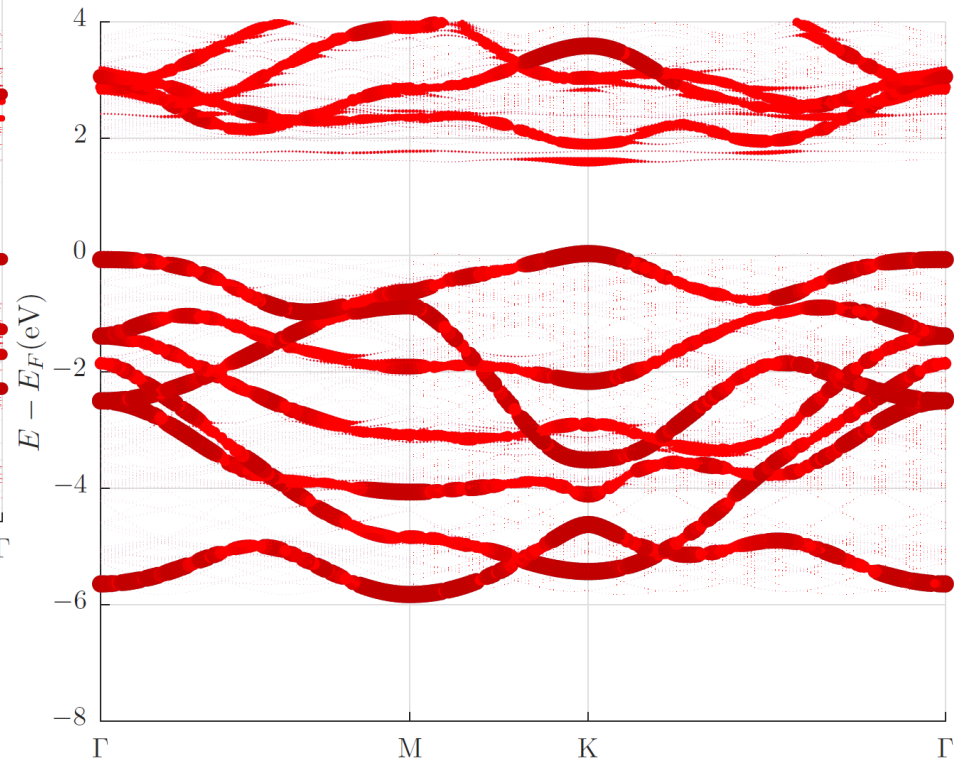
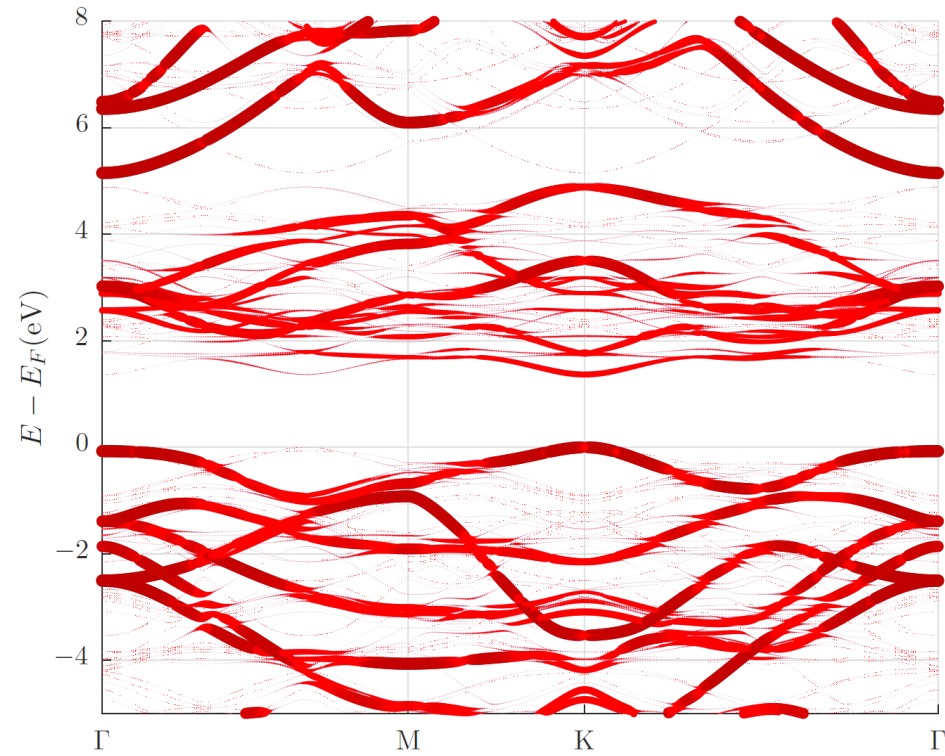
monolayer



spin up

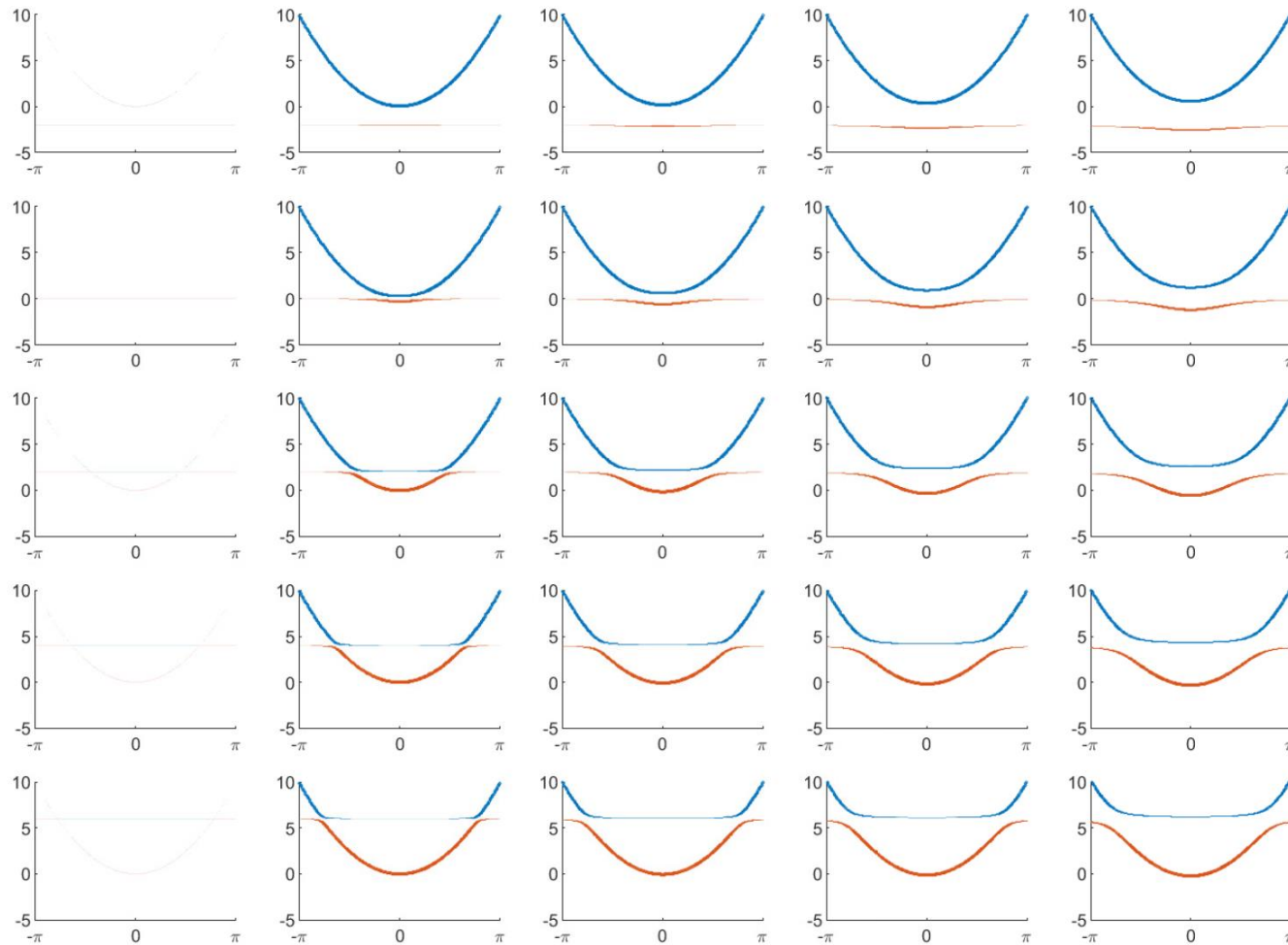
Spin down

3X3 VS 5X5 - MoS₂ + Cr DEFECT SYSTEM



INFLUENCE OF COUPLING AND DEFECT

LEVEL ENERGIES



coupling

defect level

IMPLEMENTATION IN FLEUR

```
<output dos="F" band="F" vacdos="F" slice="F">  
  
  <checks vchk="F" cdinf="F" disp="F"/>  
  <densityOfStates ndir="0" minEnergy="-0.50" maxEnergy="0.50" sigma="0.015"/>  
  <vacuumDOS layers="0" integ="F" star="F" nstars="0" locx1="0.00" locy1="0.00" locx2="0.00" locy2="0.00" nstm  
="0" tworkf="0.00"/>  
  <unfoldingBand unfoldBand="F" supercellX="1" supercellY="1" supercellZ="1"/>  
  <plotting iplot="F" score="F" plplot="F"/>  
  <chargeDensitySlicing numkpt="0" minEigenval="0.00" maxEigenval="0.00" nnne="0" pallst="F"/>  
  <specialOutput form66="F" eonly="F" bmt="F"/>  
</output>
```

Orbital.

unfoldingBand

unfoldBand	A boolean switch that defines if unfolding is used and additional weights are written.
supercellX	The size of the supercell (in units of simple unit cells) (iteger value) in X direction.
supercellY	The size of the supercell (in units of simple unit cells) (iteger value) in Y direction.
supercellZ	The size of the supercell (in units of simple unit cells) (iteger value) in Z direction.

SUMMARY

- Unfolding of supercell band structures helps to understand the material properties
- Unfolded band structures represent the defect as a perturbation to the pristine system (like in ARPES experiments)

